## Random trees and planar maps

#### Jean-François Le Gall

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Monna Lecture, Utrecht, September 2009

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## Outline

Certain large combinatorial objects, such as paths, trees and graphs, can be rescaled so that they are close to continuous models. Often the scaling limits are universal, meaning that the same continuous model corresponds to the limit of many different classes of discrete objects.

There are at least two reasons for studying these scaling limits:

- Often the continuous model is of interest in its own.
- Knowing the continuous model gives insight into the properties of the large discrete objects.

Here we discuss scaling limits for trees and especially for planar maps.

- Introduction: planar maps
- Bijections between maps and trees
- Asymptotics for trees
- The scaling limit of planar maps
- Geodesics in the Brownian map

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## 1. Introduction: Planar maps

### Definition

A planar map is a proper embedding of a connected graph into the two-dimensional sphere (considered up to orientation-preserving homeomorphisms of the sphere).



A rooted quadrangulation

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A large triangulation of the sphere (simulation by G. Schaeffer) Can we get a continuous model out of this ?

## What is meant by the continuous limit ? *M* planar map

- V(M) = set of vertices of M
- $d_{\rm gr}$  graph distance on V(M)
- $(V(M), d_{gr})$  is a (finite) metric space
- $\mathbb{M}_{n}^{p} = \{ \text{rooted } p \text{angulations with } n \text{ faces} \} \\ (modulo deformations of the sphere) \\ \mathbb{M}_{n}^{p} \text{ is a finite set}$



#### Goal

Let  $M_n$  be chosen uniformly at random in  $\mathbb{M}_n^p$ . For some a > 0,

 $(V(M_n), n^{-a}d_{gr}) \xrightarrow[n \to \infty]{}$  "continuous limiting space"

in the sense of the Gromov-Hausdorff distance.

Remarks.

a. Needs rescaling of the graph distance for a compact limit.b. It is believed that the limit does not depend on p (universality)

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## The Gromov-Hausdorff distance

The Hausdorff distance.  $K_1$ ,  $K_2$  compact subsets of a metric space

 $d_{\text{Haus}}(K_1, K_2) = \inf\{\varepsilon > 0 : K_1 \subset U_{\varepsilon}(K_2) \text{ and } K_2 \subset U_{\varepsilon}(K_1)\}\$  $(U_{\varepsilon}(K_1) \text{ is the } \varepsilon\text{-enlargement of } K_1)$ 

#### Definition (Gromov-Hausdorff distance)

If  $(E_1, d_1)$  and  $(E_2, d_2)$  are two compact metric spaces,  $d_{GH}(E_1, E_2) = \inf\{d_{Haus}(\psi_1(E_1), \psi_2(E_2))\}$ 

the infimum is over all isometric embeddings  $\psi_1 : E_1 \to E$  and  $\psi_2 : E_2 \to E$  of  $E_1$  and  $E_2$  into the same metric space E.



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$$\begin{array}{c} \psi_1 \\ \hline E_1 \end{array} \end{array} \begin{array}{c} \psi_2 \\ \hline E_2 \\ \hline \hline \end{array} \end{array}$$

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### Gromov-Hausdorff convergence of rescaled maps

#### Fact

If  $\mathbb{K} = \{\text{isometry classes of compact metric spaces}\}$ , then

 $(\mathbb{K}, d_{GH})$  is a separable complete metric space (Polish space)

 $\rightarrow$  It makes sense to study the convergence of

$$(V(M_n), n^{-a}d_{gr})$$

as random variables with values in  $\mathbb{K}$ .

(Problem stated for triangulations by O. Schramm [ICM06])

**Choice of** *a*. The parameter *a* is chosen so that diam( $V(M_n)$ )  $\approx n^a$ .  $\Rightarrow a = \frac{1}{4}$  [cf Chassaing-Schaeffer PTRF 2004 for quadrangulations]

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#### theoretical physics

- enumeration of maps related to matrix integrals ['t Hooft 74, Brézin, Itzykson, Parisi, Zuber 78, etc.]
- large random planar maps as models of random geometry (quantum gravity, cf Ambjørn, Durhuus, Jonsson 95, Duplantier-Sheffield 08)
- probability theory: models for a Brownian surface
  - analogy with Brownian motion as continuous limit of discrete paths
  - universality of the limit (conjectured by physicists)
- metric geometry: examples of singular metric spaces
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## 2. Bijections between maps and trees



A planar tree  $\tau = \{\emptyset, 1, 2, 11, ...\}$ 

(rooted ordered tree)

the lexicographical order on vertices will play an important role in what follows

A well-labeled tree  $(\tau, (\ell_V)_{V \in \tau})$ Properties of labels:

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• 
$$\ell_{v} \in \{1, 2, 3, ...\}, \forall v$$

•  $|\ell_v - \ell_{v'}| \leq 1$ , if v, v' neighbors



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### Coding maps with trees, the case of quadrangulations

 $\mathbb{T}_n = \{ \text{well-labeled trees with } n \text{ edges} \}$  $\mathbb{M}_n^4 = \{ \text{rooted quadrangulations with } n \text{ faces} \}$ 

Theorem (Cori-Vauquelin, Schaeffer)

There is a bijection  $\Phi : \mathbb{T}_n \longrightarrow \mathbb{M}_n^4$  such that, if  $M = \Phi(\tau, (\ell_v)_{v \in \tau})$ , then

 $V(M) = \tau \cup \{\partial\}$  ( $\partial$  is the root vertex of M)  $d_{\rm gr}(\partial, v) = \ell_v$ ,  $\forall v \in \tau$ 

Key facts.

- Vertices of  $\tau$  become vertices of *M*
- The label in the tree becomes the distance from the root in the map.

Coding of more general maps: Bouttier, Di Francesco, Guitter (2004)

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   ∂ labeled 0
- follow the contour of the tree, connect each vertex to the last visited vertex with smaller label



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#### Understand continuous limits of trees ("easy")

#### in order to understand continuous limits of maps ("more difficult")

**Key point.** The bijections with trees allow us to handle distances from the root vertex, but **not** distances between two arbitrary vertices of the map (required if one wants to get Gromov-Hausdorff convergence)

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## 3. Asymptotics for trees

#### The case of planar trees

 $T_n^{\text{planar}} = \{ \text{planar trees with } n \text{ edges} \}$ 

#### Theorem (reformulation of Aldous 1993)

One can construct, for every n, a tree  $\tau_n$  uniformly distributed over  $T_n^{\text{planar}}$ , in such a way that

$$( au_n, rac{1}{\sqrt{2n}} d_{\mathrm{gr}}) \longrightarrow (\mathcal{T}_{\mathbf{e}}, d_{\mathbf{e}}) \qquad \textit{as } n o \infty$$

almost surely, in the Gromov-Hausdorff sense. Here  $(T_e, d_e)$  is the CRT (Continuum Random Tree)

The notation  $(T_e, d_e)$  comes from the fact that the CRT is the tree coded by a Brownian excursion **e** 

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The CRT can be viewed as the random tree whose "contour function" is a Brownian excursion  $\mathbf{e} = (\mathbf{e}_t)_{0 \le t \le 1} =$  Brownian motion starting from 0, conditioned to be at 0 at time 1 and to stay nonnegative over [0, 1]

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### An application of Aldous' theorem

Let  $h(\tau_n)$  = height of  $\tau_n$  (= maximum of contour function). Then

$$P[h(\tau_n) \ge x\sqrt{2n}] \underset{n \to \infty}{\longrightarrow} P\Big[\max_{0 \le s \le 1} \mathbf{e}_s \ge x\Big] = 2\sum_{k=1}^{\infty} (4k^2x^2 - 1)\exp(-2k^2x^2)$$

gives the asymptotic proportion of those trees with *n* edges whose height is greater than  $x\sqrt{n}$ . cf Flajolet-Odlyzko (1982)

General philosophy:

"Big" limit theorem for the tree  $\tau_n$  (the map  $M_n$ )  $\Rightarrow$  Many asymptotics for specific functions of the tree (the map) e.g. height of the tree, radius of the map, etc.

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# Definition of the CRT: notion of a real tree

### Definition

A real tree is a (compact) metric space  $\ensuremath{\mathcal{T}}$  such that:

- any two points  $a, b \in T$  are joined by a unique arc
- this arc is isometric to a line segment

It is a rooted real tree if there is a distinguished point  $\rho$ , called the root.

Remark. A real tree can have

- infinitely many branching points
- (uncountably) infinitely many leaves

**Fact.** The coding of discrete trees by contour functions (Dyck paths) can be extended to real trees.

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# The real tree coded by a function g



 $m_g(s,t) = m_g(t,s) = \min_{s \le r \le t} g(r)$  $d_g(s,t) = g(s) + g(t) - 2m_g(s,t)$ 

$$t \sim t'$$
 iff  $d_g(t, t') = 0$ 

#### Proposition (Duquesne-LG)

 $T_g := [0, 1]/\sim$  equipped with  $d_g$  is a real tree, called the tree coded by g. It is rooted at  $\rho = 0$ .

#### **Remark.** $T_g$ inherits a "lexicographical order" from the coding.

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# The real tree coded by a function g g(t) $g: [0,1] \longrightarrow [0,\infty)$ g(s)continuous. g(0) = g(1) = 0 $m_q(s,t)$ s

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# Back to Aldous' theorem and the CRT

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in the Gromov-Hausdorff sense.

The limit  $(T_e, d_e)$  is the (random) real tree coded by a Brownian excursion **e**.



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# Back to Aldous' theorem and the CRT

Aldous' theorem:  $\tau_n$  uniformly distributed over  $T_n^{\text{planar}}$ 

$$(\tau_n, \frac{1}{\sqrt{2n}} d_{\mathrm{gr}}) \xrightarrow[n \to \infty]{\mathrm{a.s.}} (\mathcal{T}_{\mathbf{e}}, d_{\mathbf{e}})$$

in the Gromov-Hausdorff sense.

The limit  $(T_e, d_e)$  is the (random) real tree coded by a Brownian excursion **e**.



Consider a sequence  $X_1, X_2, ...$  of positive random variables such that, for every  $n \ge 1$ , the vector  $(X_1, X_2, ..., X_n)$  has density

$$a_n x_1(x_1 + x_2) \cdots (x_1 + \cdots + x_n) \exp(-2(x_1 + \cdots + x_n)^2)$$

Then "break" the positive half-line into segments of lengths  $X_1, X_2, ...$  and paste them together to form a tree :

- The first branch has length X<sub>1</sub>
- The second branch has length *X*<sub>2</sub> and is attached at a point uniform over the first branch
- The third branch has length X<sub>3</sub> and is attached at a point uniform over the union of the first two branches
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 $\mathcal{T}_n$  (tree after *n* steps) converges as  $n \to \infty$  to the CRT

# Assigning labels to a real tree

Need to assign (random) labels to the vertices of a real tree  $(\mathcal{T}, d)$ 

 $(Z_a)_{a \in \mathcal{T}}$ : Brownian motion indexed by  $(\mathcal{T}, d)$ = centered Gaussian process such that

• 
$$Z_{\rho} = 0$$
 ( $\rho$  root of  $T$ )

• 
$$E[(Z_a-Z_b)^2]=d(a,b), \qquad a,b\in \mathcal{T}$$

Labels evolve like Brownian motion along the branches of the tree:

The label Z<sub>a</sub> is the value at time d(ρ, a) of a standard Brownian motion

• Similar property for *Z<sub>b</sub>*, but one uses

- the same BM between 0 and  $d(\rho, a \wedge b)$
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# The scaling limit of well-labeled trees

Recall  $\mathbb{T}_n = \{ \text{well-labeled trees with } n \text{ edges} \}$  $(\theta_n, (\ell_v^n)_{v \in \theta_n}) \text{ uniformly distributed over } \mathbb{T}_n$ Rescaling:

- Distances on  $\theta_n$  are rescaled by  $\frac{1}{\sqrt{n}}$  (Aldous' theorem)
- Labels  $\ell_v^n$  are rescaled by  $\frac{1}{\sqrt{\sqrt{n}}} = \frac{1}{n^{1/4}}$  ("central limit theorem")



### Fact

The scaling limit of  $(\theta_n, (\ell_v^n)_{v \in \theta_n})$  is  $(\mathcal{T}_e, (\overline{Z}_a)_{a \in \mathcal{T}_e})$ , where

- T<sub>e</sub> is the CRT
- $(Z_a)_{a \in \mathcal{T}_e}$  is Brownian motion indexed by the CRT

• 
$$\overline{Z}_a = Z_a - Z_*$$
, where  $Z_* = \min\{Z_a, a \in T_e\}$ 

• T<sub>e</sub> is re-rooted at vertex minimizing Z

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### Application to the radius of a planar map Recall

- Schaeffer's bijection : quadrangulations ↔ well-labeled trees
- labels on the tree correspond to distances from the root in the map

### Theorem (Chassaing-Schaeffer 2004)

Let  $R_n$  be the maximal distance from the root in a quadrangulation with n faces chosen at random. Then,

$$n^{-1/4}R_n \xrightarrow[n \to \infty]{(d)} (\frac{8}{9})^{1/4} (\max Z - \min Z)$$

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Extensions to much more general planar maps (including triangulations, etc.) by

• Marckert-Miermont (2006), Miermont, Miermont-Weill (2007), ...

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# 4. The scaling limit of planar maps

 $\mathbb{M}_n^{2p} = \{\text{rooted } 2p - \text{angulations with } n \text{ faces}\}$  (bipartite case)  $M_n$  uniform over  $\mathbb{M}_n^{2p}$ ,  $V(M_n)$  vertex set of  $M_n$ ,  $d_{gr}$  graph distance

### Theorem (The scaling limit of 2p-angulations)

At least along a sequence  $n_k \uparrow \infty$ , one can construct the random maps  $M_n$  so that

$$(V(M_n), c_p \frac{1}{n^{1/4}} d_{\mathrm{gr}}) \xrightarrow[n \to \infty]{\mathrm{a.s.}} (\mathbf{m}_{\infty}, D)$$

in the sense of the Gromov-Hausdorff distance. Furthermore,  $m_\infty = \mathcal{T}_{e} / \approx$  where

- $T_e$  is the CRT (re-rooted at vertex minimizing Z)
- $(Z_a)_{a \in T_e}$  is Brownian motion indexed by  $T_e$ , and  $\overline{Z}_a = Z_a \min Z$
- $\approx$  equivalence relation on  $\mathcal{T}_e$ :  $a \approx b \Leftrightarrow \overline{Z}_a = \overline{Z}_b = \min_{c \in [a,b]} \overline{Z}_c$ ([a, b] lexicographical interval between a and b in the tree)
- D distance on m<sub>∞</sub> such that D(ρ, a) = Z̄<sub>a</sub>
   D induces the quotient topology on m<sub>∞</sub> = T<sub>e</sub>/≈

# Interpretation of the equivalence relation $\approx$

Recall Schaeffer's bijection:  $\exists$  edge between *u* and *v* if

• 
$$\ell_u = \ell_v - 1$$

• 
$$\ell_{w} \geq \ell_{v}$$
,  $\forall w \in ]u, v]$ 

Explains why in the continuous limit

$$a \approx b \Rightarrow \overline{Z}_a = \overline{Z}_b = \min_{c \in [a,b]} \overline{Z}_c$$
  
 $\Rightarrow a \text{ and } b \text{ are identified}$ 



Key point: Prove the converse (no other pair of points are identified)

**Remark**: Equivalence classes for  $\approx$  contain 1, 2 or 3 points.

# Consequence and open problems

### Corollary

The topological type of any Gromov-Hausdorff sequential limit of  $(V(M_n), n^{-1/4}d_{gr})$  is determined:

 $\boldsymbol{m}_{\infty}=\mathcal{T}_{\boldsymbol{e}}/\!\approx\;$  with the quotient topology.

**Open problems** 

- Identify the distance *D* on  $\mathbf{m}_{\infty}$  (would imply that there is no need for taking a subsequence)
- Show that *D* does not depend on *p* (universality property, expect same limit for triangulations, etc.)

#### STILL MUCH CAN BE PROVED ABOUT THE LIMIT !

The limiting space  $(\mathbf{m}_{\infty}, D)$  is called the Brownian map [Marckert, Mokkadem 2006, with a different approach]

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# Two theorems about the Brownian map

### Theorem (Hausdorff dimension)

$$\dim(\mathbf{m}_{\infty}, D) = 4$$
 a.s.

(Already "known" in the physics literature.)

Theorem (topological type, LG-Paulin 2007)

Almost surely,  $(\mathbf{m}_{\infty}, D)$  is homeomorphic to the 2-sphere  $\mathbb{S}^2.$ 

**Consequence**: for *n* large, no separating cycle of size  $o(n^{1/4})$  in  $M_n$ , such that both sides have diameter  $\geq \varepsilon n^{1/4}$ 



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# 5. Geodesics in the Brownian map

#### **Geodesics in quadrangulations**

Use Schaeffer's bijection between quadrangulations and well-labeled trees.

To construct a geodesic from v to  $\partial$ :

- Look for the last visited vertex (before v) with label l<sub>v</sub> 1. Call it v'.
- Proceed in the same way from v' to get a vertex v".
- And so on.
- Eventually one reaches the root  $\partial$ .



# Simple geodesics in the Brownian map

Brownian map:  $\mathbf{m}_{\infty} = \mathcal{T}_{\mathbf{e}} / \approx$ , root  $\rho$   $\prec$  lexicographical order on  $\mathcal{T}_{\mathbf{e}}$ Recall  $D(\rho, \mathbf{a}) = \overline{Z}_{\mathbf{a}}$  (labels on  $\mathcal{T}_{\mathbf{e}}$ )

Fix  $a \in T_e$  and for  $t \in [0, \overline{Z}_a]$ , set

$$\varphi_{a}(t) = \sup\{b \prec a : \overline{Z}_{b} = t\}$$

(same formula as in the discrete case !) Then  $(\varphi_a(t))_{0 \le t \le \overline{Z}_a}$  is a geodesic from  $\rho$  to *a* (called a simple geodesic)



#### Fact

Simple geodesics visit only leaves of  $T_e$  (except possibly at the endpoint)

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Random trees and planar maps

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# How many simple geodesics from a given point ?

- If *a* is a leaf of *T*<sub>e</sub>, there is a unique simple geodesic from *ρ* to *a*
- Otherwise, there are
  - 2 distinct simple geodesics if a is a simple point
  - 3 distinct simple geodesics if a is a branching point

(3 is the maximal multiplicity in  $\mathcal{T}_e$ )



### Proposition (key result)

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### The main result about geodesics

Define the skeleton of  $\mathcal{T}_{e}$  by  $\mathrm{Sk}(\mathcal{T}_{e})=\mathcal{T}_{e}\backslash\{\text{leaves of }\mathcal{T}_{e}\}$  and set

 $\text{Skel} = \pi(\text{Sk}(\mathcal{T}_{\mathbf{e}})) \qquad (\pi: \mathcal{T}_{\mathbf{e}} \to \mathcal{T}_{\mathbf{e}} / \approx = \mathbf{m}_{\infty} \text{ canonical projection})$ 

Then

- the restriction of  $\pi$  to  $Sk(\mathcal{T}_e)$  is a homeomorphism onto Skel
- $\dim(\text{Skel}) \leq 2$  (recall  $\dim(\mathbf{m}_{\infty}) = 4$ )

### Theorem (Geodesics from the root)

Let  $x \in \mathbf{m}_{\infty}$ . Then,

- if  $x \notin \text{Skel}$ , there is a unique geodesic from  $\rho$  to x
- if x ∈ Skel, the number of distinct geodesics from ρ to x is the multiplicity m(x) of x in Skel (note: m(x) ≤ 3).

#### Remarks

- Skel is the cut-locus of  $\mathbf{m}_{\infty}$  relative to  $\rho$ : cf classical Riemannian geometry [Poincaré, Myers, ...], where the cut-locus is a tree.
- same results if  $\rho$  replaced by a point chosen "at random" in  $\mathbf{m}_{\infty}$ .
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## Confluence property of geodesics

Fact: Two simple geodesics coincide near the root. (easy from the definition)

#### Corollary

Given  $\delta > 0$ , there exists  $\varepsilon > 0$  s.t.

- if  $D(\rho, \mathbf{x}) \geq \delta$ ,  $D(\rho, \mathbf{y}) \geq \delta$
- if  $\gamma$  is any geodesic from  $\rho$  to x
- if  $\gamma'$  is any geodesic from  $\rho$  to y then



 $\gamma(t) = \gamma'(t)$  for all  $t \leq \varepsilon$ 

"Only one way" of leaving  $\rho$  along a geodesic. (also true if  $\rho$  is replaced by a typical point of  $\mathbf{m}_{\infty}$ )

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#### Uniqueness of geodesics in discrete maps

 $M_n$  uniform distributed over  $\mathbb{M}_n^{2p} = \{2p - \text{angulations with } n \text{ faces}\}$  $V(M_n)$  set of vertices of  $M_n$ ,  $\partial$  root vertex of  $M_n$ ,  $d_{gr}$  graph distance

For  $v \in V(M_n)$ ,  $\operatorname{Geo}(\partial \to v) = \{ \text{geodesics from } \partial \text{ to } v \}$ 

If  $\gamma$ ,  $\gamma'$  are two discrete paths (with the same length)

$$d(\gamma,\gamma') = \max_{i} d_{\rm gr}(\gamma(i),\gamma'(i))$$

#### Corollary

Let  $\delta > 0$ . Then,

$$\frac{1}{n} \# \{ v \in V(M_n) : \exists \gamma, \gamma' \in \operatorname{Geo}(\partial \to v), \ d(\gamma, \gamma') \ge \delta n^{1/4} \} \underset{n \to \infty}{\longrightarrow} 0$$

**Macroscopic** uniqueness of geodesics, also true for "approximate geodesics" = paths with length  $d_{gr}(\partial, v) + o(n^{1/4})$ 

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Macroscopic uniqueness of geodesics, also true for "approximate geodesics"= paths with length  $d_{gr}(\partial, v) + o(n^{1/4})$ 

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# Exceptional points in discrete maps $M_n$ uniformly distributed 2p-angulation with n faces For $v \in V(M_n)$ , and $\delta > 0$ , set

 $\operatorname{Mult}_{\delta}(\boldsymbol{\nu}) = \max\{k : \exists \gamma_1, \ldots, \gamma_k \in \operatorname{Geo}(\partial, \boldsymbol{\nu}), \ \boldsymbol{d}(\gamma_i, \gamma_j) \geq \delta n^{1/4} \text{ if } i \neq j\}$ 

(number of "macroscopically different" geodesics from  $\partial$  to v)

## Corollary 1. For every $\delta > 0$ , $P[\exists v \in V(M_n) : \operatorname{Mult}_{\delta}(v) \ge 4] \xrightarrow[n \to \infty]{} 0$ 2. But $\lim_{\delta \to 0} \left( \liminf_{n \to \infty} P[\exists v \in V(M_n) : \operatorname{Mult}_{\delta}(v) = 3] \right) = 1$

There can be at most 3 macroscopically different geodesics from  $\partial$  to an arbitrary vertex of  $M_n$ .

**Remark**.  $\partial$  can be replaced by a vertex chosen at random in  $M_n$ .

Jean-François Le Gall (Université Paris-Sud)

Random trees and planar maps

# Exceptional points in discrete maps $M_n$ uniformly distributed 2p-angulation with n faces For $v \in V(M_n)$ , and $\delta > 0$ , set

 $\operatorname{Mult}_{\delta}(\boldsymbol{\nu}) = \max\{k : \exists \gamma_1, \ldots, \gamma_k \in \operatorname{Geo}(\partial, \boldsymbol{\nu}), \ \boldsymbol{d}(\gamma_i, \gamma_j) \geq \delta n^{1/4} \text{ if } i \neq j\}$ 

(number of "macroscopically different" geodesics from  $\partial$  to v)

#### Corollary

1. For every  $\delta > 0$ ,

$$P[\exists v \in V(M_n) : \operatorname{Mult}_{\delta}(v) \geq 4] \underset{n \to \infty}{\longrightarrow} 0$$

$$\lim_{\delta \to 0} \left( \liminf_{n \to \infty} P[\exists v \in V(M_n) : \operatorname{Mult}_{\delta}(v) = 3] \right) = 1$$

There can be at most 3 macroscopically different geodesics from  $\partial$  to an arbitrary vertex of  $M_n$ .

**Remark**.  $\partial$  can be replaced by a vertex chosen at random in  $M_n$ .

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### A few references

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