

ERGODIC PROPERTIES OF SIGNED BINARY EXPANSIONS

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ABSTRACT. Every integer n has a unique *signed binary expansions* of the form

$$n = \sum_{i=0}^{k-1} a_i 2^i,$$

satisfying $a_i \times a_{i+1} = 0$. Based on the signed binary expansion a compactification K of \mathbb{Z} is introduced. On K there are two natural operations: the classical shift map σ and the odometer τ , which extends to K the addition of 1. In this paper the dynamical properties of this set K are studied both under the shift σ and the odometer τ .

1. INTRODUCTION

1.1. Signed binary expansions. Given any non-zero integer n , one can expand n in infinitely many different sums —‘*signed binary expansions*’— of the form

$$(1) \quad n = \sum_{i=0}^{k-1} a_i 2^i,$$

where the digits a_i are 0, 1 or -1 and $a_{k-1} \neq 0$. Motivated by automatic computing, Booth in [Boo] used signed binary expansions to introduce a new process for multiplying integers.

In general, a representation (1) is called *non-adjacent* or *separated* if

$$(2) \quad \forall i \in \mathbb{N}, \quad a_i \times a_{i+1} = 0,$$

where \times represents the ordinary product (we set $a_i = 0$ for all indices $i \geq k$). Reitwiesner [R] showed, among other things, that such a representation (1) with constraints (2) is unique. We will call this representation the separated signed binary expansion of n , say SSB expansion of n for short, and set $SSB(n) = a_0 \cdots a_{k-1}$, using the classical notation for words of length k on the alphabet $\mathcal{A} = \{-1, 0, 1\}$. It is proved in [Gü-Pa] that among all binary representations (1) of any integer n , constraints (2) lead to a representation which has the least number of nonzeros terms. In fact Güntzer and Paul in [Gü-Pa] introduced the notion of SSB expansion in connection to jump interpolation search trees. Another reason to study SSB expansions concerns the computation of a power x^n in a group where the inverse x^{-1} is easily computed; the exponentiation is then optimized by finding expansions (1) such that the *Hamming weight* $w(n) := \sum_{i=0}^{k-1} |a_i|$ is minimal. This strategy has been exploited in [Je-Mi], and has several applications in elliptic cryptosystems [Ko-Ts, Mo-Ol]. A recent detailed combinatorial study of this binary expansion can be found in [Bo].

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1.2. Outline of this paper. In Section 2, we first introduce the lazy algorithm which generates the digits a_i in (1) under the constraint (2) and yields a constructive proof of the existence and uniqueness of the separated signed binary expansion. In the next two subsections we study further the classical transducer which writes the SSB expansion $a_0 \cdots a_{k-1}$ of any non negative integer n by reading its usual binary representation. We also introduce a greedy algorithm which computes directly from n its SSB digits a_i in reverse order. In Subsection 2.4 an *SSB-compactification* K of \mathbb{Z} is introduced. In fact, using the notation of infinite words,

$$K = \{x_0 x_1 x_2 \cdots \in \{-1, 0, 1\}^{\mathbb{N}}; \forall i \in \mathbb{N}, x_i \times x_{i+1} = 0\}.$$

On K there are two natural operations: the classical shift map σ and the odometer τ , which extends to K the addition of 1. In this paper the dynamical properties of this set K are studied both under the shift σ and the odometer τ . Due to (2) the shift (K, σ) is a topological mixing Markov chain; the well known Parry measure ν_K —giving the maximal entropy of (K, σ) (the topological one)—can be easily obtained: it is the Markov probability measure ν_K determined by the transition probability matrix

$$P := \begin{pmatrix} 0 & 1 & 0 \\ 1/4 & 1/2 & 1/4 \\ 0 & 1 & 0 \end{pmatrix},$$

with initial distribution $(1/6, 2/3, 1/6)$ (which is the stationary distribution). The shift can be identified with the map $S : [-2/3, 2/3) \rightarrow [-2/3, 2/3)$, piecewise linear on $[-2/3, -1/3)$, $[-1/3, 1/3)$ and $[1/3, 2/3)$ and given by $S(x) = 2x \pmod{\mathbb{Z}}$. The ergodic properties of S are studied in Subsection 2.4.

In Section 3 the operation of adding 1 to an integer, using the SSB expansion, is extended to K in a natural manner, defining a \mathbb{Z} -action τ called the SSB-odometer. It is then shown that the topological dynamical system (K, τ) is uniquely ergodic and homeomorphic to the usual dyadic odometer. The τ -invariant measure on K can be viewed as a Markov measure μ which is nothing but the pull-back of the Haar measure after identifying K with the compact group of dyadic integers \mathbb{Z}_2 . The measure μ has also transition matrix P , but now with initial distribution the vector $(1/4, 1/2, 1/4)$.

In Section 4, we study additive block functions $f : \mathbb{N}$ (or \mathbb{Z}) $\rightarrow \mathbb{R}$, which are natural generalizations of the classical *sum-of-digit function* $s(n)$, or the Hamming weight $w(n)$. We show that the function Δf given by $\Delta f(n) = f(n+1) - f(n)$ can be extended to a well-defined and continuous function on $K \setminus \{\alpha, \beta\}$, where $\alpha = (010101 \dots)$, and $\beta = (101010 \dots)$. This extension is also denoted by Δf . To study properties of block functions we use the notion of a cocycle with respect to τ . More precisely, for any map $F : K \rightarrow A$, where A is any abelian group, we consider the cocycle associated with F . This is the map

$$(3) \quad (n, x) \mapsto F(n, x)$$

defined on $\mathbb{Z} \times K$ by $F(0, x) = 0_A$, $F(n, x) = \sum_{k=0}^{n-1} F(\tau^k x)$, and $F(-n, x) = \sum_{k=1}^n F(\tau^{-k} x)$ for $n \geq 1$. Note that $F(1, x) = F(x)$ and for $m, n \in \mathbb{Z}$, one has $F(n+m, x) = F(n, x) + F(m, \tau^n x)$, which is called the *cocycle identity*. We use the same notation for a map and its associated cocycle, the distinction will be clear from the context. In case of a block function f , the cocycle associated to Δf with respect to τ will be simply called the Δ -cocycle of f .

We show that Δf can be continuously extended if and only if Δf is a τ -coboundary, *i.e.*, $\Delta f = g \circ \tau - g$ (μ -a.e.) for a Borel map g . If Δf is not continuous, then the skew product transformation $(x, g) \mapsto (\tau x, g + \Delta f(x))$ defined on $K \times G$ is ergodic, here G is the closed subgroup of \mathbb{R} generated by $\{\Delta(f + f^*)(n) : n \in \mathbb{Z}\}$, where f^* is a suitable τ -coboundary related to f (see Subsection 4.2). We end this section with some examples, and a straightforward generalization by replacing \mathbb{R} with any locally compact metrizable abelian group. As a by-product, it is shown that the Hamming weight function and the classical sum-of-digits function are statistically independent.

In the final section, K is equipped with the stationary Markov measure ν_p given by the transition matrix

$$\Pi(p) = \begin{pmatrix} 0 & 1 & 0 \\ p_{-1} & p_0 & p_1 \\ 0 & 1 & 0 \end{pmatrix},$$

with $p_{-1}p_0p_1 \neq 0$, and it is proved that there is no σ -finite τ -invariant measure equivalent to ν_p , unless $p = (1/4, 1/2, 1/4)$.

2. THE SIGNED SEPARATED BINARY (SSB) REPRESENTATION

2.1. The lazy algorithm. A natural way to produce expansions (1) with a minimal number of non zero digits is to compute consecutive digits c_0, c_1, \dots using the following strategy.

- If n is even, replace n by $T(n) = n/2$ and set $c_0 = 0$;
- If n is odd, choose $c_0 \in \{-1, 1\}$ such that $n \equiv c_0 \pmod{4}$, and replace n by $T(n) = \frac{n - c_0}{2}$.

This leads to the maps $c : \mathbb{Z} \rightarrow \{-1, 0, 1\}$ and $T : \mathbb{Z} \rightarrow \mathbb{Z}$ given by

$$c(n) = \begin{cases} 0 & \text{if } n \text{ is even,} \\ 1 & \text{if } n \equiv 1 \pmod{4}, \\ -1 & \text{if } n \equiv -1 \pmod{4}, \end{cases}$$

and

$$T(n) := \frac{n - c(n)}{2}.$$

Due to these definitions, one has

$$n = c(n) + 2T(n),$$

and by iterating

$$(4) \quad n = c_0(n) + c_1(n)2 + \dots + c_{k-1}(n)2^{k-1} + T^k(n)2^k,$$

where $c_j(n) = c(T^j(n))$. By convention, $T^0(n) = n$. It is easy to see that for $n \in \mathbb{Z} \setminus \{0\}$ one has $|T(n)| < |n|$. Since $T(0) = 0$, there exists for any $n \in \mathbb{Z}$ a unique non-negative integer h (called the *height* of n) such that $T^h(n) = 0$, but $T^j(n) \neq 0$ for $0 \leq j \leq h-1$, where we set the height of 0 equal to 0. With these definitions, for $n \neq 0$ of height h one has for $i \in \{0, 1, \dots, h-1\}$

$$c_i \in \{-1, 0, 1\}, \quad c_i \neq 0 \Rightarrow c_{i+1} = 0,$$

and

$$c_{h-1} = \text{sgn}(n),$$

where $\text{sgn}(n) = n/|n|$ if $n \neq 0$, and $\text{sgn}(0) = 0$. Hence, the map T describes the (lazy) algorithm to compute the SSB expansion of any rational integer n , and if h is the height of $n \neq 0$, formula (4) with $k = h$ will be identified with the following infinite string on the alphabet $\{-1, 0, 1\}$:

$$J(n) = c_0(n) \cdots c_{h-1}(n) 0^\omega,$$

where in general v^ω denotes the infinite string obtain by concatenating the word v with itself infinitely often. In case $n = 0$, we simply have $J(0) = 0^\omega$.

2.2. From the usual binary expansion to the SSB one. A simple way to transform any usual binary expansion $n = \sum_{i=0}^{k-1} e_i 2^i$ (represented by the infinite binary string $e_0 \cdots e_{k-1} 0^\omega$) into the corresponding SSB expansion has been given in [Gü-Pa] (see also [Prod]), using rewriting rules which lead to a simple transducer given in Figure 1:

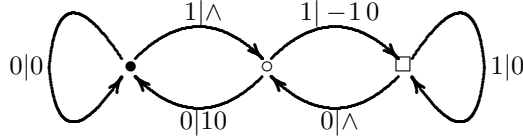


FIGURE 1. The SSB transducer T_{SSB}

The transducer, denoted by T_{SSB} , reads the successive inputs e_0, e_1, e_2, \dots starting from the black state \bullet , following a unique labelled path $\bullet \xrightarrow{e_1} s_1 \cdots \xrightarrow{e_k} s_k \cdots$ and writes at the same time the output string of blocks $w_1 \dots w_k \dots$, where each labelled arc $s_{j-1} \xrightarrow{e_j} s_j$ corresponds to a block w_j such that $e_j | w_j$ is the label of a unique arc from x_{j-1} to x_j . Here and after, we have set $w_j = \wedge$ to point out that w_j is the empty block \wedge . Notice that after the input e_{k-1} , (and reading at least 2 more digits which are both 0) the path goes back to the black state where it stays forever.

In the sequel, given any binary string (finite or infinite) $b = b_0 \cdots b_{k-1} \cdots$ we shall denote by $T_{SSB}(b)$ the output string one gets when the input b is read by the transducer.

For example, 91 corresponds to the binary string 11011010^ω which gives the sequence of states $(\bullet \circ \square \circ \square \square \circ \square \circ \bullet \bullet \bullet \dots)$ and the output sequence (writing from left to right) $\wedge(-10) \wedge(-10)0 \wedge(-10) \wedge(10)000 \dots$ corresponding to the SSB expansion $91 = -1 - 4 - 32 + 128$. Hence $T_{SSB}(1101101) = (-)10(-1)00(-1)0$ but $T_{SSB}(11011010^\omega) = (-1)0(-1)00(-1)010^\omega$.

From the transducer, we easily see that if $2^{k-1} \leq n < 2^k$, the height of n is k or $k + 1$. We are interested to find all integers of the same height. To this end, let us introduce for any $h \in \mathbb{N}$ the following integers:

$$d_h = \begin{cases} \frac{2 \cdot 2^h - 1}{3} & \text{if } h \text{ is odd } (= 2m + 1), \\ \frac{2 \cdot 2^h - 2}{3} & \text{if } h \text{ is even } (= 2m), \end{cases}$$

and denote by $h(n)$ the height of n . Notice that $d_0 = 0$.

Proposition 1. For $h \in \mathbb{N}$ one has

(a) $T(d_{h+1}) = d_h$, and for $n \neq 0$

$$h(n) = h \iff |n| \in \{d_{h-1} + 1, d_{h-1} + 2, \dots, d_h\}.$$

(b) The signed dyadic expansion of d_h is given by

$$J(d_h) = \begin{cases} (10)^m 10^\omega & \text{if } h = 2m + 1, \\ (01)^m 0^\omega & \text{if } h = 2m. \end{cases}$$

Proof. Property (b) is an immediate consequence of the following two observations

$$d_{2m+1} = \frac{4^{m+1} - 1}{3} = 1 + 4 + \dots + 4^m = 2^0 + 2^2 + 2^4 + \dots + 2^{2m},$$

and

$$d_{2m} = 2 \left(\frac{4^m - 1}{3} \right) = 2(1 + \dots + 4^{m-1}) = 2^1 + 2^3 + \dots + 2^{2m-1}.$$

To prove property (a), let $n = c_0 + c_1 \cdot 2 + \dots + c_{h-1} 2^{h-1}$ be the SSB expansion of n . Suppose that $c_{h-1} = 1$, so that if $h = 2m + 1$ we get

$$n \leq 1 + 4 + \dots + 4^m = \frac{4^{m+1} - 1}{3} = d_{2m+1} = d_h$$

and

$$n \geq 4^m - 4^{m-1} - \dots - 1 = 4^m - \frac{4^m - 1}{3} = \frac{2 \cdot 4^m - 2}{3} + 1 = d_{h-1} + 1.$$

Now, for $h = 2m$ ($m > 0$) we get

$$\begin{aligned} n &\leq 0 + 2 + 0 + 2 \cdot 4 + \dots + 2 \cdot 4^{m-1} \\ &= 2(1 + 4 + \dots + 4^{m-1}) = 2 \cdot \frac{4^m - 1}{3} = d_{2m} = d_h, \end{aligned}$$

and

$$\begin{aligned} n &\geq 2 \cdot 4^{m-1} - 2 \cdot 4^{m-2} - \dots - 2 \\ &= 2 \cdot 4^{m-1} - 2 \left(\frac{4^{m-1} - 1}{3} \right) \\ &= \frac{4^m - 1}{3} + 1 = d_{2m-1} + 1 = d_{h-1} + 1. \end{aligned}$$

It remains to show that if $n \in \{d_{h-1} + 1, \dots, d_h\}$, then $h(n) = h$. By considering the signed binary expansion of such numbers n , the above estimate shows that $h(n) = h$. The case where $c_{h-1} = -1$ is similar. \square

2.3. A greedy algorithm. For a given integer $n \neq 0$, let h be the height of n such that $d_{h-1} + 1 \leq |n| \leq d_h$. Proposition 1 and a straightforward computation leads to

$$J(d_{h-1} + 1) = \begin{cases} ((-1)0)^m 10^\omega & \text{if } h = 2m + 1, \\ (0(-1))^{m-1} 010^\omega & \text{if } h = 2m, \end{cases}$$

and furthermore

$$\frac{d_{h-1} + d_h}{2} = 2^{h-1} - \frac{1}{2}.$$

This shows that the sequence d_h can be recursively defined. Notice that

$$\{\{0\}, [-d_h, -d_{h-1}), (d_{h-1}, d_h]; h \geq 1\}$$

is a partition of \mathbb{Z} such that each atom consists of all integers of the same height and parity. Hence, if n is given then the height is easily determined. Suppose n has height h , then the SSB expansion of n has the form $n = c_0 + c_1 \cdot 2 + \cdots + c_{h-1} \cdot 2^{h-1}$, with $c_{h-1} = \text{sgn}(n)$. Let $G_1(n) = n - \text{sgn}(n) \cdot 2^{h-1}$, then $G_1(n) = c_0 + c_1 \cdot 2 + \cdots + c_{h-2} \cdot 2^{h-2}$ and $c_{h-2} = \text{sgn}(G_1(n))$. Continuing in this manner, just by knowing n one can calculate its SSB digits in reverse order.

This suggests the following greedy algorithm to calculate the SSB digits of n .

SSB-Greedy Algorithm (n)

Input n (rational integer);

Output $(S(n), H(n), G(n))$.

begin

$h := 0$;

if $n \neq 0$ **then**

begin

repeat $h := h + 1$ **until** $d_{h-1} < |n| \leq d_h$;

$S := \text{sign}(n)$,

$H := h - 1$,

$G := n - \text{sign}(n)2^{h-1}$;

endbegin;

else $S := 0$, $H := 0$, $G := 0$;

endif;

endbegin.

By iterating the SSB-Greedy Algorithm successively with the first input $n = n_0 \neq 0$ we derive the sequence

$$(5) \quad (S_0, H_0, G_1), \dots, (S_{\ell-1}, H_{\ell-1}, G_{\ell})$$

with $S_0 = S(n)$ ($= \text{sign}(n)$), $H_0 = H(n)$ ($= h(n) - 1$), $G_1 = G(n)$ and after r iterations ($1 \leq r \leq \ell$), $S_{r-1} = S(G^{r-1}(n))$, $H_{r-1} = H(G^{r-1}(n))$ ($= h(G^{r-1}(n)) - 1$) and $G_r = G^r(n)$. Moreover, ℓ is the least positive integer m such that $G^m(n) = 0$, hence $G_{\ell} = 0$. If we start with $n = 0$, the SSB-Greedy Algorithm gives the output $(0, 0, 0)$ ($\ell = 1$). According to (4), the sequence (5) leads to the SSB expansion

$$n = \sum_{0 \leq i < \ell} S_i 2^{H_i}.$$

2.4. SSB-expansions of numbers in $[-2/3, 2/3]$. Consider the following set K of infinite strings on the alphabet $\mathcal{A} = \{-1, 0, 1\}$:

$$K := \{x_0 x_1 x_2 \cdots \in \mathcal{A}^{\mathbb{N}}; \forall i \geq 0, x_i \neq 0 \Rightarrow x_{i+1} = 0\}.$$

Clearly K is a nonempty compact subset of $\mathcal{A}^{\mathbb{N}}$ endowed with the weak topology, so two points are close if they agree on a sufficiently large beginning block. The set K can be viewed as a straightforward compactification of \mathbb{Z} in connection with the separated signed binary expansion.

To each word $a_0 \cdots a_{k-1} \in \mathcal{A}^k$ of length k , we associate the so-called cylinder set

$$[a_0 \cdots a_{k-1}] = \{x \in \mathcal{A}^{\mathbb{N}}; x_0 \cdots x_{k-1} = a_0 \cdots a_{k-1}\}.$$

We denote by W_k the set of words $a_0 \cdots a_{k-1} \in \mathcal{A}^k$ such that $[a_0 \cdots a_{k-1}] \cap K \neq \emptyset$ or equivalently $a_1 \times a_{i+1} = 0$ for all i satisfying $0 \leq i < k - 1$. Such words are said

to be admissible. For the sake of simplicity, the notation $[a_0 \cdots a_{k-1}]$ will be also used to denote a cylinder set in K , where $a_0 \cdots a_{k-1} \in W_k$.

Let σ be the usual shift map on $\mathcal{A}^{\mathbb{N}}$, then $\sigma(K) = K$. In this section we will study the shift σ on K . To do so, we first identify K , up to a countable set, with an interval of \mathbb{R} . By considering the usual binary expansion of real numbers, it is natural to introduce the map $\Psi : K \rightarrow \mathbb{R}$ given by

$$(6) \quad \Psi(x) = \sum_{k=0}^{\infty} \frac{x_k}{2^{k+1}}.$$

Lemma 1. *The map Ψ has the following properties.*

(i) *The map Ψ is continuous.*

(ii) $\Psi(K) = [-\frac{2}{3}, \frac{2}{3}]$, $\Psi([-1]) = [-\frac{2}{3}, -\frac{1}{3}]$, $\Psi([0]) = [-\frac{1}{3}, \frac{1}{3}]$, $\Psi([1]) = [\frac{1}{3}, \frac{2}{3}]$.

(iii) *For any $a_0 \cdots a_{k-1} \in W_k$ with $k \geq 2$, if $a_{k-1} \neq 0$*

$$(7) \quad \Psi([a_0 \cdots a_{k-1}]) = [\Psi(a_0 \cdots a_{k-1}0^\omega) - \frac{1}{3 \cdot 2^k}, \Psi(a_0 \cdots a_{k-1}0^\omega) + \frac{1}{3 \cdot 2^k}],$$

and if $a_{k-1} = 0$

$$(8) \quad \Psi([a_0 \cdots a_{k-2}0]) = [\Psi(a_0 \cdots a_{k-2}0^\omega) - \frac{1}{3 \cdot 2^{k-1}}, \Psi(a_0 \cdots a_{k-2}0^\omega) + \frac{1}{3 \cdot 2^{k-1}}].$$

Proof. Clearly Ψ is continuous, and $\min \Psi(K) = \Psi((-10)^\omega) = -\frac{2}{3}$, $\max \Psi(K) = \Psi((10)^\omega) = \frac{2}{3}$. For each admissible word $a = a_0 \cdots a_{h-1}$ let $\tilde{a} = a_{h-1} \cdots a_0$ be the reverse word of a . Clearly \tilde{a} is also admissible. Using Proposition 1, one see that for any integer $n \in [-d_h, d_h] \cap \mathbb{Z}$ the height is at most h , so there exists $a_0 \cdots a_{h-1} \in W_h$ such that $J(n) = \tilde{a}0^\omega$ and consequently $\Psi(a_0 \cdots a_{h-1}0^\omega) = \frac{n}{2^h}$. In particular,

$$\Psi(W_h 0^\omega) = \left\{ \frac{n}{2^h}; -d_h \leq n \leq d_h \right\}$$

and

$$-\frac{2}{3} + \frac{3 + (-1)^h}{6 \cdot 2^h} \leq \Psi(a_0 \cdots a_{h-1}0^\omega) \leq \frac{2}{3} - \frac{3 + (-1)^h}{6 \cdot 2^h}.$$

Since $\bigcup_{h \in \mathbb{N}} \Psi(W_h 0^\omega)$ is a dense subset of $[-\frac{2}{3}, \frac{2}{3}]$, by continuity of Ψ it follows that $\Psi(K) = [-\frac{2}{3}, \frac{2}{3}]$. Now, since $\min \Psi([0]) = \Psi((0(-1))^\omega) = -\frac{1}{3}$ and $\max \Psi([0]) = \Psi((01)^\omega) = \frac{1}{3}$, the above shows that $\Psi([0]) = [-\frac{1}{3}, \frac{1}{3}]$. Similarly for the other two cases of (ii).

To show (iii), consider any $y = a_0 \cdots a_{k-1}x_0x_1 \cdots \in K$. Then $x = x_0x_1 \cdots$ belongs to K , and

$$\Psi(y) = \Psi(a_0 \cdots a_{k-1}0^\omega) + \frac{1}{2^k} \Psi(x).$$

If $a_{k-1} \neq 0$, then x is any element of $[0]$. Using (ii), one has

$$\begin{aligned} \Psi([a_0 \cdots a_{k-1}]) &= [\Psi(a_0 \cdots a_{k-1}(0(-1))^\omega), \Psi(a_0 \cdots a_{k-1}(01)^\omega)] \\ &= \Psi(a_0 \cdots a_{k-1}0^\omega) + \frac{1}{2^k} [-\frac{1}{3}, \frac{1}{3}], \end{aligned}$$

i.e., (7) follows. On the other hand, if $a_{k-1} = 0$, then x can be any element of K , hence

$$\begin{aligned} \Psi([a_0 \cdots a_{k-2}0]) &= [\Psi(a_0 \cdots a_{k-2}(0(-1))^\omega), \Psi(a_0 \cdots a_{k-2}(01)^\omega)] \\ &= \Psi(a_0 \cdots a_{k-2}0^\omega) + \frac{1}{2^{k-1}} [-\frac{1}{3}, \frac{1}{3}], \end{aligned}$$

and (8) follows. \square

Lemma 1 shows that every $t \in [-\frac{2}{3}, \frac{2}{3}]$ has a *separated signed binary expansion* (SSB) of the form

$$(9) \quad t = \sum_{k=0}^{\infty} \frac{x_k}{2^{k+1}},$$

where $x_k \in \{-1, 0, 1\}$, and $x_k \times x_{k+1} = 0$ ($k \geq 0$), i.e., $x = x_0 x_1 x_2 \dots \in K$ and $\Psi(x) = t$. From the proof of the above lemma, it is straightforward to see that for each $k \geq 1$, and any two distinct elements $a_0 \dots a_{k-1}$, $b_0 \dots b_{k-1}$ in W_k , the intervals $\Psi[a_0 \dots a_{k-1}]$ and $\Psi[b_0 \dots b_{k-1}]$ are either disjoint or intersect at one of the endpoints. These endpoints have exactly two SSB-expansions, one ending with the infinite string $(01)^\omega$, the other ending with $(0(-1))^\omega$. More precisely,

$$\Psi(a_0 \dots a_{k-1} (0(-1))^\omega) = \begin{cases} \Psi(a_0 \dots a_{k-2} 0(-1)(01)^\omega) & \text{if } a_{k-1} = 0, \\ \Psi(a_0 \dots a_{k-2} (01)(01)^\omega) & \text{if } a_{k-1} = 1, \end{cases} \quad (a_{k-1} \neq -1).$$

Notice that the endpoints of the intervals $\Psi[a_0 \dots a_{k-1}]$, except for $-2/3$ and $2/3$, have exactly two SSB-expansions.

There is a dynamical way of directly generating an SSB-expansion of points $[-\frac{2}{3}, \frac{2}{3}]$. Consider the map $S : [-\frac{2}{3}, \frac{2}{3}] \rightarrow [-\frac{2}{3}, \frac{2}{3}]$, defined by

$$(10) \quad S(t) = 2t - a(t),$$

where

$$(11) \quad a(t) = \begin{cases} -1 & \text{if } -2/3 \leq t < -1/3 \\ 0 & \text{if } -1/3 \leq t < 1/3 \\ 1 & \text{if } 1/3 \leq t < 2/3, \end{cases}$$

see also Figure 2. Setting $a_k = a_k(t) := a(S^k(t))$, for $k \geq 0$, it follows from (10)

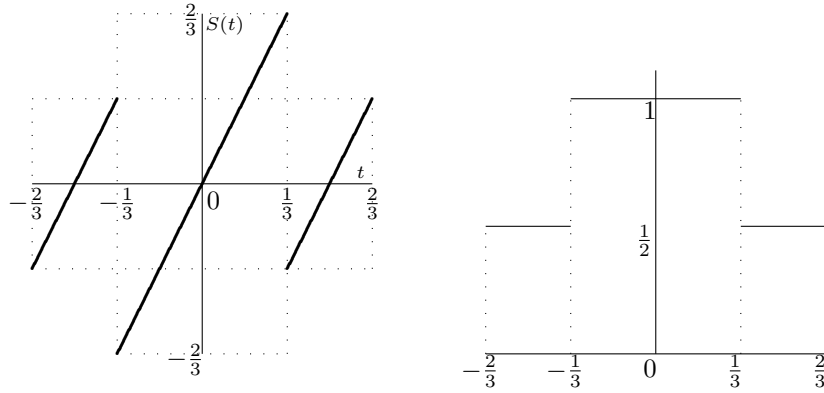


FIGURE 2. Graph of S and density ρ

that

$$\begin{aligned} t &= \frac{a_0}{2} + \frac{1}{2}S(t) = \frac{a_0}{2} + \frac{1}{2}\left(\frac{a_1}{2} + \frac{1}{2}S^2(t)\right) \\ &= \dots = \frac{a_0}{2} + \frac{a_1}{4} + \dots + \frac{a_k}{2^{k+1}} + \frac{1}{2^{k+1}}S^{k+1}(t). \end{aligned}$$

Since $|S^k(t)| \leq \frac{2}{3}$, the infinite series $\sum_{k=0}^{\infty} a_k/2^{k+1}$ converges to t . In the expansion

$$(12) \quad t = \sum_{k=0}^{\infty} a_k/2^{k+1}$$

obtained from S , the digits a_k satisfy (2). We call this expansion the *canonical* SSB-expansion of t , referred to as the CSSB-expansion of t . For example

$$\frac{1}{3} = \frac{1}{2} - \sum_{k=1}^{\infty} \frac{1}{2 \cdot 4^k}.$$

Let I_a be the interval $[-2/3, -1/3]$, $[-1/3, 1/3]$ or $[1/3, 2/3]$ according to whether $a = -1, 0$ or $+1$, and more generally, for any $a_0 \dots a_{k-1} \in \mathcal{A}^k$, set

$$I_{a_0 \dots a_{k-1}} = \bigcap_{0 \leq j < k} S^{-j} I_{a_j}.$$

By construction, $I_{a_0 \dots a_{k-1}} \neq \emptyset$ if and only if $a_0 \dots a_{k-1} \in W_k$, and in that case, $I_{a_0 \dots a_{k-1}}$ is the set of t in $[-2/3, 2/3]$ such that $S^j(t) \in I_{a_j}$ (and so $a_j(t) = a_j$) for $0 \leq j < k$. Using Lemma 1 we can easily prove

Lemma 2. *For any $x = x_0 x_1 x_2 \dots \in K$ and any $k \geq 1$,*

$$\Psi([x_0 \dots x_{k-1}]) = \overline{I_{x_0 \dots x_{k-1}}}$$

The above shows that the SSB-expansion of points in $[-\frac{2}{3}, \frac{2}{3}]$ generated by S is the one which does not end with the infinite string $(01)^\omega$. In fact S has one cycle of order 2, namely $\{-\frac{2}{3}, -\frac{1}{3}\}$. A point $t \in (-\frac{2}{3}, \frac{2}{3})$ has two SSB-expansions if and only if there exists an integer $k \geq 0$ such that $S^k(t) = -\frac{1}{3}$. Further, the CSSB-expansion of t is the one which ends with $(0(-1))^\omega$. The other points t have a unique SSB-expansion which is canonical. In particular $-2/3 = \Psi((-1)0)^\omega$, but $2/3 = \Psi(10)^\omega$, this SSB-expansion being unique and not canonical.

We introduce the set K_0 of infinite strings in K which do not end with $(01)^\omega$ and summarize the above results in the first part of following proposition; the second part is a simple fact and is left to the reader.

Proposition 2. *The map Ψ is Borel measurable, one-to-one and onto the interval $[-2/3, 2/3]$. For any $t \in [-2/3, 2/3]$, the CSSB-expansion (12) of t corresponds to the unique point $x \in K_0$ such that $\Psi(x) = t$. Moreover, extending S at $\frac{2}{3}$ by $S(\frac{2}{3}) = \frac{1}{3}$, then Ψ is a conjugation between σ and S , namely*

$$\Psi \circ \sigma = S \circ \Psi.$$

The map S is non-singular with respect to λ , where λ denotes normalized Lebesgue measure on $[-\frac{2}{3}, \frac{2}{3}]$. In order to find an S -invariant probability measure of density ρ with respect to λ on $([-2/3, 2/3], \mathcal{B})$ (where \mathcal{B} denotes the σ -algebra of Borel sets of $[-2/3, 2/3]$), we introduce (a version of) the natural extension of S . Let

$$\Omega = \left([-\frac{2}{3}, -\frac{1}{3}] \times [-\frac{1}{3}, \frac{1}{3}]\right) \cup \left([-\frac{1}{3}, \frac{1}{3}] \times [-\frac{2}{3}, \frac{2}{3}]\right) \cup \left([\frac{1}{3}, \frac{2}{3}] \times [-\frac{1}{3}, \frac{1}{3}]\right),$$

and let $\tilde{S} : \Omega \rightarrow \Omega$ be defined by

$$\tilde{S}(x, y) = \left(S(x), \frac{a(x)}{2} + \frac{y}{2} \right),$$

see Figure 3. Note that on Ω the normalized Lebesgue measure λ_Ω is \mathcal{S} -invariant. It

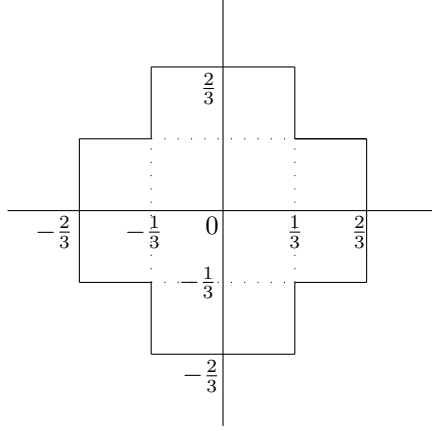


FIGURE 3. Domain of definition of the Natural Extension \tilde{S}

is easy to show that the dynamical system $(\Omega, \mathcal{B}_\Omega, \lambda_\Omega, \tilde{S})$, where \mathcal{B}_Ω is the collection of Borel sets of Ω , is isomorphic to a two-sided mixing Markov chain with state space $\{-1, 0, 1\}$, transition matrix

$$P = \begin{pmatrix} 0 & 1 & 0 \\ 1/4 & 1/2 & 1/4 \\ 0 & 1 & 0 \end{pmatrix},$$

and initial distribution the stationary distribution $(\frac{1}{6}, \frac{2}{3}, \frac{1}{6})$. This implies that $(\Omega, \mathcal{B}_\Omega, \lambda_\Omega, \tilde{S})$ is isomorphic to a Bernoulli shift. Projecting on the first coordinate, yields that

$$([-2/3, 2/3], \mathcal{B}, \nu, S)$$

is a mixing Markov chain, where ν is the first marginal probability measure on $[-2/3, 2/3]$. A straightforward computation shows that ν has density function $\rho(x) = \frac{d\nu}{d\lambda}(x)$ given by

$$\rho(x) = \begin{cases} 1/2 & \text{if } -2/3 \leq x < -1/3 \\ 1 & \text{if } -1/3 \leq x < 1/3 \\ 1/2 & \text{if } 1/3 \leq x < 2/3, \end{cases}$$

see also Figure 2. From this we see that the measure $\bar{\nu}$ given by $\bar{\nu}(A) = \nu(\Psi(A))$ is S -invariant. On the other hand, (K, σ) is a topological Markov chain with state space $\{-1, 0, 1\}$, and adjacency matrix

$$\begin{pmatrix} 0 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 0 \end{pmatrix}.$$

This adjacency matrix is irreducible, and $\bar{\nu}$ is the unique shift-invariant measure of maximal entropy (the Parry measure).

3. THE SEPARATED BINARY ODOMETER

3.1. Construction and dynamical properties. Using the SSB expansion of integers, we would like to extend the operation of adding 1 on \mathbb{Z} to a map $\tau : K \rightarrow K$. To this end, recall that we have embedded \mathbb{Z} into K via the map

$$J : n \mapsto J(n) = c_0 c_1 \cdots c_{k-1} 0^\omega,$$

where $c_{k-1} = \text{sgn}(n)$ and $n = c_0 + c_1 \cdot 2 + \cdots + c_{k-1} \cdot 2^{k-1}$ is the SSB expansion of n . Given $x \in K$, we set for any $m \in \mathbb{N}$:

$$x[m] = x_0 + x_1 2 + \cdots + x_{m-1} 2^{m-1}.$$

A natural way to extend the operation of adding 1's to K is to set

$$(13) \quad \tau(x) := \lim_{m \rightarrow \infty} J(x[m] + 1).$$

If x corresponds to an integer n , we have $\tau(J(n)) = J(n+1)$, and the limit in (13) exists. However, it is not obvious that this limit exists for any $x \in K$.

We have the following theorem.

Theorem 1. *The limit in (13) always exists and defines an homeomorphism $\tau : K \rightarrow K$.*

Proof. We study the definition of τ in detail. For any $x = x_0 x_1 x_2 \cdots \in K$, using $2^k + 2^{k+1} = -2^k + 2^{k+2}$ and $2^k - 2^{k+1} = -2^k$, we get

$$(14) \quad \tau(x) = \begin{cases} 00x_2x_3 \cdots & \text{if } x = (-1)0x_2x_3 \cdots, \\ (-10)^m 10x_{2m+2}x_{2m+3} \cdots & \text{if } x = (01)^m 00x_{2m+2}x_{2m+3} \cdots, \\ (-10)^m (-1)0x_{2m+2} \cdots & \text{if } x = (01)^m 0(-1)x_{2m+2} \cdots, \\ (0(-1))^m 010x_{2m+3} \cdots & \text{if } x = (10)^m 100x_{2m+3} \cdots, \\ (0(-1))^m 0(-1)0x_{2m+3} \cdots & \text{if } x = (10)^m 10(-1)x_{2m+3} \cdots \end{cases}$$

And finally

$$\tau((01)^\omega) = ((-1)0)^\omega, \quad \tau((10)^\omega) = (0(-1))^\omega,$$

proving that τ is well defined by (13) and moreover, if $x_0 x_1 \cdots x_k = y_0 y_1 \cdots y_k$ ($k \geq 2$) then

$$\tau(x)_0 \tau(x)_1 \cdots \tau(x)_{k-2} = \tau(y)_0 \tau(y)_1 \cdots \tau(y)_{k-2}$$

implying that τ is continuous. The bijectivity of τ can be obtained easily from formula (14) (or from the next proof) and continuity of τ^{-1} follows from compactness of K . \square

We want to show that (K, τ) is homeomorphic to the translation by 1 on the compact group \mathbb{Z}_2 of 2-adic integers. To this end we define \mathbb{Z}_2 as the limit of the inverse system $\{c_{m,n} : \mathbb{Z}/2^m \rightarrow \mathbb{Z}/2^n\}$ where $m \geq n$ and $c_{m,n}$ are the canonical maps.

We also identify \mathbb{Z}_2 to the compact infinite product space $\{0, 1\}^{\mathbb{N}}$ by means of the classical dyadic Hensel expansion.

Lemma 3. *For all integers $m \geq 1$ the map $\varphi_m : K \rightarrow \mathbb{Z}/2^m \mathbb{Z}$ defined by*

$$\varphi_m(x) = x[m] \pmod{2^m}$$

is a continuous epimorphism from (K, τ) to $(\mathbb{Z}/2^m \mathbb{Z}, \tau_m)$.

Proof. We denote by τ_m the translation $\xi \mapsto \xi + 1$ on the group $\mathbb{Z}/2^m\mathbb{Z}$. It is clear that φ_m is a continuous map from K onto $\mathbb{Z}/2^m\mathbb{Z}$. Let x be an element of K distinct of $(01)^\omega$ or $(10)^\omega$. By the above construction (see (14)), there exists an integer $N \geq 0$ such that for all $n \geq N$, $\tau(x)[n] = x[n] + 1$. Reducing mod 2^m ($m < n$), we get $\varphi_m(\tau(x)) = \tau_m(\varphi_m(x))$. If $x = (01)^\omega$, a straightforward computation shows $x[2n] + 1 = \tau(x)[2n] + 2^{2n}$ and $x[2n+1] + 1 = \tau(x)[2n+1] - 2^{2n+1}$. The other case is similar and consequently $\varphi_m \circ \tau = \tau_m \circ \varphi_m$ in all cases. \square

From Lemma 3 the family of epimorphisms φ_m defines a continuous epimorphism (a factor map) $\varphi : K \rightarrow \mathbb{Z}_2$ such that

$$\varphi(\tau(x)) = \varphi(x) + 1$$

for all $x \in K$.

Theorem 2. *(K, τ) is homeomorphic by φ to the translation by 1 on the compact group \mathbb{Z}_2 . In particular (K, τ) is uniquely ergodic with invariant probability measure $\mu = \mu_2 \circ \varphi$ where μ_2 is the standard Haar measure on \mathbb{Z}_2 .*

Proof. It remains to show that φ is injective. Let $x \neq y$ in K . There exists $n \geq 0$ such that $x_i = y_i$ for all indices $i < n$ but $x_n \neq y_n$. If $x_n = 0$ or $y_n = 0$ then $\varphi_{n+1}(x) \neq \varphi_{n+1}(y)$. Otherwise $x_n = -y_n (\neq 0)$. In that case $\varphi_{n+1}(x) = \varphi_{n+1}(y)$ but $x_{n+1} = y_{n+1} = 0$ so that $\varphi_{n+2}(x) \neq \varphi_{n+2}(y)$. Hence $\varphi(x) \neq \varphi(y)$. \square

Corollary 1. *The inverse map $\varphi^{-1} : \mathbb{Z}_2 \rightarrow K$ can be computed by the transducer T_{SSB} :*

$$\forall y \in \mathbb{Z}_2; \varphi^{-1}(y) = T_{SSB}(y).$$

Proof. Both φ^{-1} and $T_{SSB}(\cdot)$ are continuous and coincide on all infinite binary strings corresponding to binary expansions of natural numbers. \square

Remark. For any integers k and n , $n \geq 1$, set

$$\psi_{k,n}(x) = e^{2\pi i \frac{k \cdot x[n+1]}{2^n}},$$

and observe that for all $\ell \geq n+1$:

$$\psi_{k,n}(x) = e^{2\pi i \frac{k \cdot x[\ell]}{2^n}}.$$

As an easy consequence of the definition of τ we have

$$(15) \quad \psi_{k,n}(\tau x) = e^{2\pi i \frac{k}{2^n}} \psi_{k,n}(x),$$

showing that the maps $\psi_{k,n}$ are continuous eigenfunctions for τ with corresponding eigenvalues $e^{2\pi i k/2^n}$ and by Theorem 2, all eigenfunctions of the dynamical system (K, τ, μ) are given by the family $\psi_{k,n}$.

3.2. Computation of the Haar measure viewed on K . In the previous section we have shown that there exists a continuous factor map $\varphi : K \rightarrow \mathbb{Z}_2$ such that $\varphi(\tau x) = \varphi(x) + 1$. On \mathbb{Z}_2 we have the Haar measure μ_2 , which is the $(1/2, 1/2)$ -Bernoulli measure, and on K we consider the measure $\mu = \mu_2 \circ \varphi$. We show that μ is a Markov measure on K . From the transducer of Section 2.2 (see Figure 1), one sees that

$$\varphi([0]) = [0], \quad \varphi([-1]) = \varphi([-10]) = [11] \quad \text{and} \quad \varphi([1]) = \varphi([10]) = [10].$$

Therefore

$$\mu([0]) = 1/2, \quad \mu([-1]) = \mu([-10]) = 1/4 \quad \text{and} \quad \mu([1]) = \mu([10]) = 1/4.$$

Now, for any cylinder $[c_0 c_1 \cdots c_n]$ in K , let

$$B(c_0, \dots, c_n) = \varphi([c_0 \cdots c_n]) = \{y \in \mathbb{Z}_2 : \varphi^{-1}(y)_i = c_i, i = 0, 1, \dots, n\}$$

so that $\mu([c_0 \cdots c_n]) = \mu_2(B(c_0, \dots, c_n))$. We want to find a relationship between $\mu_2(B(c_0, \dots, c_n))$ and $\mu_2(B(c_0, \dots, c_n, a))$ where $c_0 \cdots c_n a \in E_{n+1}$.

First we claim that $B(c_0, \dots, c_n)$ is always a cylinder set $[y_0 \cdots y_m]$ in \mathbb{Z}_2 with

$$(16) \quad c_n = 0 \Rightarrow n = m.$$

From above $B(0) = [0]$, $B(1) = B(1, 0) = [10]$, $B(-1) = B(-1, 0) = [11]$ and also $B(0, 0) = [00]$. Assume that $B(c_0, \dots, c_n) = [y_0 \cdots y_m]$ ($n \geq 2$). By construction $T_{SSB}([y_0 \cdots y_m]) = [c_0 \cdots c_n]$ and we can assert that the state s where the transducer is staying after reading the word $y_0 \cdots y_m$ belongs to $\{\bullet, \square\}$ (otherwise the output is already $c_0 \cdots c_n$ after reading $y_0 \cdots y_{m-1}$ and then $[y_0 \cdots y_{m-1}] \subset B(c_0, \dots, c_n)$, a contradiction).

Now observe that if $c_n \in \{-1, 1\}$, then $a = 0$. This implies that $B(c_0, \dots, c_n) = B(c_0, \dots, c_n, 0) = [y_0 \cdots y_m]$ and by recurrence assumption, one gets $n + 1 = m$. Finally, for $c_n = 0$, considering all possible cases depending on the value of s , one has

$$B(c_0, \dots, c_{n-1}, 0, 0) = \begin{cases} [y_0 \cdots y_m 0] & \text{if } s = \bullet \\ [y_0 \cdots y_m 1] & \text{if } s = \square \end{cases}$$

$$B(c_0, \dots, c_{n-1}, 0, 1) = \begin{cases} [y_0 \cdots y_m 10] & \text{if } s = \bullet \\ [y_0 \cdots y_m 00] & \text{if } s = \square \end{cases}$$

and

$$B(c_0, \dots, c_{n-1}, 0, -1) = \begin{cases} [y_0 \cdots y_m 11] & \text{if } s = \bullet \\ [y_0 \cdots y_m 01] & \text{if } s = \square. \end{cases}$$

This ends the prove of our claim by induction on n and in addition, shows that

$$\mu([c_0 \cdots c_{n-1} 00]) = \frac{1}{2} \mu([c_0 \cdots c_{n-1} 0])$$

$$\mu([c_0 \cdots c_{n-1} 01]) = \frac{1}{4} \mu([c_0 \cdots c_{n-1} 0])$$

$$\mu([c_0 \cdots c_{n-1} 0(-1)]) = \frac{1}{4} \mu([c_0 \cdots c_{n-1} 0]).$$

We have proved the following theorem.

Theorem 3. *Let \mathcal{M} be the Markov chain with state space $\mathcal{A} := \{-1, 0, 1\}$ and probability transition matrix (with index-set \mathcal{A})*

$$P := \begin{pmatrix} 0 & 1 & 0 \\ 1/4 & 1/2 & 1/4 \\ 0 & 1 & 0 \end{pmatrix}.$$

Then $\mu (= \mu_2 \circ \varphi)$ is the Markov measure which corresponds to the initial probability vector $p := (1/4, 1/2, 1/4)$. In other words

$$(17) \quad \mu([c_0 \cdots c_n]) = p_{c_0} \prod_{i=0}^{n-1} P_{c_i c_{i+1}}$$

Remark. The Markov chain \mathcal{M} is irreducible and aperiodic with stationary distribution ν_K given by initial probability vector $\pi := (1/6, 2/3, 1/6)$. Hence μ is not the stationary measure. Since ν_K is also defined by formula (17) after replacing p_{c_0} by π_{c_0} , we readily get

$$\frac{d\nu_K}{d\mu}(x) = \begin{cases} 4/3 & \text{if } x \in [0] \\ 2/3 & \text{otherwise.} \end{cases}$$

Passing to the interval $[-2/3, 2/3]$ with Ψ one gets

$$\mu \circ \Psi = \lambda_{[-2/3, 2/3]}$$

where λ is the normalized Lebesgue measure on $[-2/3, 2/3]$. Let $T : [-2/3, 2/3] \rightarrow [-2/3, 2/3]$ be the map defined by $T(t) = \tau(x)$ if x corresponds to the *SSB*-expansion of t , so that $\Psi \circ \tau = T \circ \Psi$ and T is right continuous. From (14) one sees that T is piecewise linear. More precisely,

$$T(t) = \begin{cases} t + \frac{1}{2} & \text{if } t \in [-\frac{2}{3}, -\frac{1}{3}), \\ t - 1 + \frac{3}{2^{2m+1}} & \text{if } t \in [\frac{1}{3} - \frac{1}{2^{2m+1}}, \frac{1}{3} - \frac{1}{3 \cdot 2^{2m+1}}), \\ t - 1 + \frac{3}{2^{2m+2}} & \text{if } t \in [\frac{1}{3} - \frac{1}{3 \cdot 2^{2m+1}}, \frac{1}{3} - \frac{1}{4 \cdot 2^{2m+1}}), \\ t - 1 + \frac{3}{2^{2m+2}} & \text{if } t \in [\frac{2}{3} - \frac{1}{2^{2m+2}}, \frac{2}{3} - \frac{1}{3 \cdot 2^{2m+2}}), \\ t - 1 + \frac{3}{2^{2m+3}} & \text{if } t \in [\frac{2}{3} - \frac{1}{3 \cdot 2^{2m}}, \frac{2}{3} - \frac{1}{4 \cdot 2^{2m}}), \end{cases}$$

and in particular $T(1/3) = \Psi(\tau(10((-1)0)^\omega)) = -7/24$, $\lim_{t \rightarrow 2/3} T(t) = -1/3$. The map T is similar to the adding-machine on $[0, 1)$ introduced by von Neumann in [voN], which corresponds to the usual dyadic expansion. Figure 4 shows partially the graph of T on the first five intervals ($m = 0$):

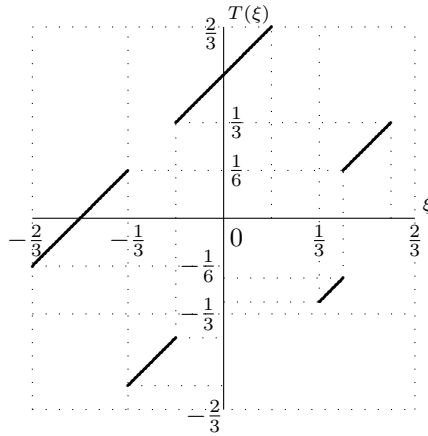


FIGURE 4. Partial graph of T

4. BLOCK FUNCTIONS AND ASSOCIATED COCYCLES

It is an old arithmetical tradition to study the statistical and harmonic properties of the sum-of-digits function

$$s(n) = \sum_j c_i(n).$$

and the Hamming weight $w(n)$

$$w(n) = \sum_j |c_i(n)|.$$

These are examples of additive 1-block maps, defined in general as follows.

Definition 1. A map $f : \mathbb{Z} \rightarrow \mathbb{R}$ is said to be an additive k -block map if there exists a map $\tilde{f} : \{-1, 0, 1\}^k \rightarrow \mathbb{R}$, such that $\tilde{f}(0^k) = 0$ and for any integer $n \in \mathbb{Z}$, with SSB digits $c_j(n)$ given by (4), one has

$$(18) \quad f(n) = \sum_{j \geq 0} \tilde{f}(c_j \cdots c_{j+k-1}).$$

4.1. Additive k -block maps. For any function $f : \mathbb{Z} \rightarrow \mathbb{R}$, let

$$\Delta f(n) = f(n+1) - f(n).$$

In this section we are seeking functions $f : \mathbb{Z} \rightarrow \mathbb{R}$ such that for $x \in K$ the limit

$$\lim_{n \rightarrow \infty} \Delta f(x[n])$$

exists almost everywhere according to the Haar measure μ on K . The family of additive k -block maps defined above has this property.

One can extend \tilde{f} in Definition 4.1 to a function on K , by simply setting $\tilde{f}(x) = \tilde{f}(x_0 \cdots x_{k-1})$, hence

$$f(n) = \sum_{j \geq 0} \tilde{f}(\sigma^j J(n)).$$

Note that, for $x \notin \{(01)^\omega, (10)^\omega\}$, and n sufficiently large, one has

$$(\tau x)[n] = x[n] + 1.$$

Hence, if f is an additive k -block map on \mathbb{Z} , then

$$\Delta f(x) = \lim_{n \rightarrow \infty} (f((\tau x)[n]) - f(x[n]))$$

is well-defined for $x \in K \setminus \{(01)^\omega, (10)^\omega\}$; in fact, $\Delta f(x)$ can be written as a finite sum. To see this, let $m(x)$ be the least integer m such that $\tau(x)_j = x_j$ if $j > m$. Then

$$(19) \quad \forall \ell \geq m(x), \quad \Delta f(x) = \sum_{i=0}^{\ell} (\tilde{f}(\sigma^i \tau x) - \tilde{f}(\sigma^i x)).$$

Theorem 4. Let f be an additive k -block map. Then Δf is continuous at all points $x \in K \setminus \{(01)^\omega, (10)^\omega\}$ and

$$(20) \quad \int_K \Delta f(x) d\mu(x) = 0.$$

Proof. Using the fact that the function $m(\cdot)$ has the constant value $s = m(x)$ on the cylinder set $[x_0 \cdots x_s]$, the first part of the theorem follows from the continuity of τ , σ , \tilde{f} (extended to K) and (19). For any integer $M \geq 1$ let Ω_M the set of $x \in K$ such that $m(x) \leq M$. Obviously $\Omega_M \subset \Omega_{M'}$ if $M < M'$ and from (14), Ω_M is a finite union of cylinder sets such that $\bigcup_{M \geq 1} \Omega_M = K \setminus \{(01)^\omega, (10)^\omega\}$. Put $g_M(x) = \sum_{0 \leq i \leq M} (\tilde{f}(\sigma^i \tau x) - \tilde{f}(\sigma^i x))$. The measure μ being invariant under τ , one gets $\int_K g_M(x) d\mu(x) = 0$. Now, the sequence of maps $g_M(\cdot)$ (which are continuous on K) converges simply to Δf on $K \setminus \{(01)^\omega, (10)^\omega\}$. In fact, $g_M(x) = \Delta f(x)$ as soon as $x \in \Omega_L$ (L fixed) with $M \geq L$. For all $x \in (K \setminus \{(01)^\omega, (10)^\omega\})$, the inequality

$$|\Delta f(x) - g_M(x)| \leq 2 \|\tilde{f}\|_\infty (m(x) - M) \mathbf{1}_{K \setminus \Omega_M}(x)$$

holds, and consequently, it is enough to prove that the Borel map $m(\cdot)$ is μ -integrable, the Lebesgue dominated convergence theorem doing the rest for establishing (20). From Theorem 3 and constraints (2), the inequality

$$\mu([c_0 \cdots c_{n-1}]) \leq \frac{1}{2^n}$$

follows for any $c_0 \cdots c_{n-1} \in W_n$. Formula (14) giving τ , shows that $m(x) = 1$ on $[-1]$, $m(x) = 2s + 1$ on $A_s = [(01)^s 00]$, $m(x) = 2s + 2$ on $B_s = [(01)^s 0(-1)]$ and $B'_s = [(10)^s 100]$, and finally $m(x) = 2s + 3$ on $C_s = [(10)^s 10(-1)]$. But

$$K \setminus \{(01)^\omega, (10)^\omega\} = [-1] \cup \bigcup_{s \geq 0} (A_s \cup B_s \cup B'_s \cup C_s),$$

this union being disjoint. That implies

$$\begin{aligned} \int_K m(x) dx &= \frac{1}{4} + \sum_{s=0}^{\infty} (2s+1) \mu(A_s) \\ &\quad + \sum_{s=0}^{\infty} (2s+2) (\mu(B_s) + \mu(B'_s)) \\ &\quad + \sum_{s=0}^{\infty} (2s+3) \mu(C_s) \\ &\leq \frac{1}{4} + \sum_{s=0}^{\infty} (2s+1) 2^{-2s-2} \\ &\quad + \sum_{s=0}^{\infty} (2s+2) (2^{-2s-2} + 2^{-2s-3}) \\ &\quad + \sum_{s=0}^{\infty} (2s+3) 2^{-2s-3} \\ &< +\infty, \end{aligned}$$

as expected. \square

We now investigate the case where Δf can be extended to a continuous function on K .

Theorem 5. *Let $f : \mathbb{Z} \rightarrow \mathbb{R}$ be an additive k -block map, and suppose that Δf can be continuously extended to the points $\alpha := (01)^\omega$ and $\beta := (10)^\omega$. Then*

$$(21) \quad \tilde{f}(\alpha) + \tilde{f}(\beta) = \tilde{f}(\tau\alpha) + \tilde{f}(\tau\beta).$$

Further, the continuous extension of Δf , still denoted by Δf , depends on at most the first $2k$ coordinates, and

$$\Delta f(x) = \begin{cases} \Delta f(\alpha) & \text{for } x \in [(01)^{\lfloor \frac{k+1}{2} \rfloor}], \\ \Delta f(\beta) & \text{for } x \in [(10)^{\lfloor \frac{k+1}{2} \rfloor}]. \end{cases}$$

In particular $(\Delta f) \circ \tau^{2^{k+1}} = \Delta f$. Finally,

$$\begin{aligned} \Delta f(\alpha) &= \tilde{f}(\tau\alpha) - \tilde{f}(\alpha) + \Delta f(\beta), \\ \Delta f(\beta) &= \tilde{f}(\tau\beta) - \tilde{f}(\beta) + \Delta f(\alpha). \end{aligned}$$

Proof. Notice that for $m \geq 1$ and $x \in [(01)^m] \cup [(10)^m]$, formula (14) implies the important relation $\tau(\sigma(x)) = \sigma(\tau(x))$. Consequently, for any $m > \lfloor \frac{k+1}{2} \rfloor$ and any sequence $c_0 c_1 c_2 \dots \in K$, we have

$$\begin{aligned} (22) \quad \Delta f((01)^m 0 c_0 c_1 c_2 \dots) &= \tilde{f}(\tau\alpha) - \tilde{f}(\alpha) + \Delta f((10)^m c_0 c_1 c_2 \dots) \\ &= \tilde{f}(\tau\alpha) - \tilde{f}(\alpha) + \tilde{f}(\tau\beta) - \tilde{f}(\beta) \\ &\quad + \Delta f((01)^{m-1} 0 c_0 c_1 c_2 \dots). \end{aligned}$$

Suppose Δf is continuous at α and β . Taking limits as $m \rightarrow \infty$, and using the continuity of Δf at α , one gets (21). After $m - \lfloor \frac{k+1}{2} \rfloor$ iterations of (22), and using (21), we find that

$$\Delta f((01)^m 0 c_0 c_1 \dots) = \Delta f((01)^{\lfloor \frac{k+1}{2} \rfloor} 0 c_0 c_1 \dots).$$

Similarly,

$$\Delta f((10)^m c_0 c_1 \dots) = \Delta f((10)^{\lfloor \frac{k+1}{2} \rfloor} c_0 c_1 \dots).$$

Taking the limit as $m \rightarrow \infty$, one gets for any sequence $c_0 c_1 c_2 \dots \in K$,

$$\Delta f(\alpha) = \Delta f((01)^{\lfloor \frac{k+1}{2} \rfloor} 0 c_0 c_1 \dots)$$

and

$$\Delta f(\beta) = \Delta f((10)^{\lfloor \frac{k+1}{2} \rfloor} c_0 c_1 \dots).$$

This shows that on $[(01)^{\lfloor \frac{k+1}{2} \rfloor}]$, Δf has the constant value $\Delta f(\alpha)$ and on $[(10)^{\lfloor \frac{k+1}{2} \rfloor}]$ it has the constant value $\Delta f(\beta)$. For $2p < k$, we now consider Δf on each cylinder of the form $[(01)^p 00]$, $[(01)^p 0(-1)]$, $[(10)^p 0]$ and $[(10)^p(-1)]$. In view of (14), it appears that Δf depends on at most the first $2p+1+k$ coordinates, but $2p+1+k$ is at most $2k$ for k odd, and at most $2k-1$ if k is even. On the cylinders $[00]$ and $[(-1)0]$, Δf depends only on the first k coordinates and finally, on $[0(-1)]$, it depends on at most the first $k+1$ coordinates.

Since for any $n \geq 2$ and $x \in K$, $(\tau^{2^n} x)_i = x_i$ for $0 \leq i \leq n-2$, one has that Δf is invariant under $\tau^{2^{2k+1}}$ if k is odd, and invariant under $\tau^{2^{2k}}$ if k is even.

Finally, from

$$\Delta f((01)^m 0 c_0 c_1 \dots) = \tilde{f}(\tau\alpha) - \tilde{f}(\alpha) + \Delta f((01)^m c_0 c_1 \dots)$$

and

$$\Delta f((10)^m 0 c_0 c_1 \dots) = \tilde{f}(\tau\alpha) - \tilde{f}(\alpha) + \Delta f((01)^{m-1} 0 c_0 c_1 \dots),$$

one has by taking limits that

$$\Delta f(\alpha) = \tilde{f}(\tau\alpha) - \tilde{f}(\alpha) + \Delta f(\beta)$$

and

$$\Delta f(\beta) = \tilde{f}(\tau\beta) - \tilde{f}(\beta) + \Delta f(\alpha).$$

□

Lemma 4. *Let $F : K \rightarrow \mathbb{R}$ in $L^1(K, \mu)$ such that $\int_K F(x) d\mu(x) = 0$ and assume there exists an integer $s > 0$ such that*

$$F \circ \tau^{2^s} = F \quad (\mu - a.e.)$$

(in particular F depends only on the first $s + 1$ variables). Then, there exists a continuous map $g : K \rightarrow \mathbb{R}$ such that $g \circ \tau^{2^s} = g$ and

$$F = g \circ \tau - g \quad (\mu - a.e.).$$

Proof. Let $g(x) = F(2^s, x) = \sum_{i=0}^{2^s-1} F(\tau^i x)$, then $g(x) = g(\tau x)$. Hence by ergodicity of τ , the function g must be a constant a.e. with respect to μ . But

$$\int_K g(x) d\mu(x) = 2^s \int_K F(x) d\mu(x) = 0.$$

Hence, $g = 0$ a.e. with respect to μ . Let $h(x) = \frac{1}{2^s} \sum_{p=0}^{2^s-1} F(p, x)$. Using the cocycle identity, and the fact that $F(2^s, x) = 0$, one has

$$h(\tau x) = h(x) - F(x).$$

Hence F is a coboundary. Since τ is continuous, and for each $0 \leq p \leq 2^s - 1$ the function $F(p, x)$ is continuous, it follows that h is continuous. Further, by the cocycle identity, the invariance of F under τ^{2^s} , and the fact that $F(2^s, x) = 0$, we have $h(x) = h(\tau^{2^s} x)$. Hence, h depends on at most the first $s + 1$ coordinates. This proves the lemma. □

We remark that by using character theory one can give another short proof of the above Lemma. For any non trivial character $\psi_{k,n}$, the invariance of μ under τ gives the relation

$$(1 - e^{2\pi i k 2^{s-n}}) \int_K F \overline{\psi_{k,n}} d\mu = 0,$$

which implies $\int_K F \overline{\psi_{k,n}} d\mu = 0$ if $n > s$. Consequently, F is a linear combination of characters $\psi_{k,n}$ with $0 < n \leq s$. If $n > 0$ (and $0 \leq k < 2^n$) then, $\psi_{k,n} = \frac{1}{e^{2\pi i k 2^{-n}} - 1} (\psi_{k,n} \circ \tau - \psi_{k,n})$. Therefore F has the form $g \circ \tau - g$ where $g : K \rightarrow \mathbb{R}$ is continuous and satisfies $g \circ \tau^{2^s} = g$, as required.

Corollary 2. *Let $f : \mathbb{Z} \rightarrow \mathbb{R}$ be an additive k -block map, and suppose that Δf can be continuously extended to K . There exists a continuous map $g : K \rightarrow \mathbb{R}$ depending on at most the first $2k + 1$ coordinates, such that*

$$\Delta f = g \circ \tau - g.$$

Proof. The result follows from Lemma 4, Theorem 4 and Theorem 5. □

Remark. For $k = 1$, a straightforward computation shows that if Δf can be extend by continuity, then \tilde{f} is constant (and $\Delta f = 0$). For $k = 2$, relations (i) and (ii) allow us to determine explicitly \tilde{f} . Up to an additive constant, \tilde{f} is given by the following table where a and b are any real numbers. Notice that in that case, $(\Delta f) \circ \tau^4 = \Delta f$. (See Table 4.1)

$c_0 c_1$	$\tilde{f}(c_0 c_1)$	$\Delta f(c_0 c_1 \dots)$
0 0	0	$-b$
$(-1)0$	a	$-a$
$0(-1)$	$-a$	a
0 1	b	a
1 0	$-b$	b

TABLE 1. Continuous Δ -cocycles generated by 2-block maps

4.2. Skew product with Δ -cocycles. Let f be an additive k -block map generated by the function \tilde{f} . If f cannot be continuously extended at α and β , we set $\Delta f(\alpha) = \Delta f((01)^k 0^\omega)$ and $\Delta f(\beta) = \Delta f((10)^k 0^\omega)$. Define the map $f^* : K \rightarrow \mathbb{R}$ as follows

$$f^*(x) = \tilde{f}(0x_0x_1 \dots x_{k-2}) + \tilde{f}(00x_0x_1 \dots x_{k-3}) + \dots + \tilde{f}(0^{k-1}x_0).$$

If \tilde{f} is a 1-block map, then $f^* = 0$. Set $\Delta f^*(x) = f^*(\tau x) - f^*(x)$, and let $G = G(\tilde{f})$ be the closed subgroup of \mathbb{R} generated by $\{\Delta(f + f^*)(n) : n \in \mathbb{Z}\}$. Let λ_G be the Haar measure on G , and consider the skew product $\tau_{\tilde{f}} : K \times G \rightarrow K \times G$, defined by

$$\tau_{\tilde{f}}(x, \gamma) = (\tau x, \Delta(f + f^*)(x) + \gamma).$$

It is easy to see that $\tau_{\tilde{f}}$ is measure preserving w.r.t. the product measure $\mu \otimes \lambda_G$. Using the cocycle notation, notice that

$$\tau_{\tilde{f}}^n(x, \gamma) = (\tau^n x, \Delta(f + f^*)(n, x) + \gamma).$$

We are interested in characterizing additive Δ -cocycles such that $\tau_{\tilde{f}}$ is ergodic. Using the tools developed by K. Schmidt, the question of ergodicity of $\tau_{\tilde{f}}$ can be completely answered by studying the set of essential values $E(\Delta(f + f^*))$ of $\Delta(f + f^*)$. The set $E(\Delta(f + f^*))$ consists of all elements $\gamma \in G$ with the property that for every neighborhood V_γ of γ and for every Borel subset B of K of positive μ -measure

$$\mu\left(\bigcup_{n \in \mathbb{Z}} B \cap \tau^{-n} B \cap \{x \in K : \Delta(f + f^*)(n, x) \in V_\gamma\}\right) > 0.$$

Notice that since Δf^* is a τ -coboundary, we have

$$E(\Delta(f)) = E(\Delta(f + f^*)),$$

where $E(\Delta(f))$ is the set of essential values of Δf .

Remark. For any $z \in K$, any $n \geq 0$ and $h \geq 1$, since the first k -coordinates of z and $\tau^{2^{h+k}} z$ are the same,

$$\Delta f^*(2^{h+k}, x) = \sum_{i=0}^{2^{h+k}-1} \Delta f^*(\tau^i z) = f^*(\tau^{2^{h+k}} z) - f^*(z) = 0.$$

Lemma 5. For any k -block function f , $E(\tilde{f}) = G(\tilde{f})$. Moreover, Δf can be extended continuously if and only if $G(\tilde{f}) = \{0\}$.

Proof. Let γ be any value of $\Delta(f + f^*)$. Clearly, if we prove that $\gamma \in E(\Delta(f + f^*))$, then $E(\Delta(f + f^*)) = G$. To this end, let e be an integer with SSB-expansion $e = e_0 \dots e_\ell 0^\omega$ such that $\gamma = \Delta(f + f^*)(e)$. For any non empty cylinder $C = [x_0 \dots x_{h-1}]$ in K , consider the cylinder

$$C_e = \{y \in C, y_h \dots y_{h+k-1} = 0^k, y_{h+k} \dots y_{h+2k+\ell+1} = e_0 \dots e_\ell 0^{k+1}\}.$$

Then, $\mu(C_e) > 0$. Since $\tau^{-2^{h+1}}C = C$, we see that $C_e \subset C \cap \tau^{-2^{h+k}}C = C$. Now, for any $y \in C_e$, we have $\Delta f^*(2^{h+k}, x) = 0$. Thus,

$$\begin{aligned} \Delta(f + f^*)(n, y) &= \sum_{i=0}^{2^{h+k}-1} \Delta f(\tau^i y) \\ &= \sum_{i=0}^{m_{2^{h+k}}(z)} \tilde{f}(\sigma^i \tau^{2^{h+k}} y) - \tilde{f}(\sigma^i y), \end{aligned}$$

where $m_{2^{h+k}}(z) = \max(m(z), \dots, m(\tau^{2^{h+k}-1}(z)))$. By definition of C_e , one has $m_{2^{h+k}}(z) \leq h + k + \ell + 2$, and since the first $h + k$ coordinates of z and $\tau^{2^{h+k}}(z)$ are the same, one has

$$\begin{aligned} \Delta(f + f^*)(2^{h+k}, y) &= \sum_{i=h+1}^{h+k+\ell+2} \tilde{f}(\sigma^i \tau^{2^{h+k}} y) - \tilde{f}(\sigma^i y) \\ &= \sum_{i=1}^{k-1} \tilde{f}(0^{k-i} \tau e) - \tilde{f}(0^{k-i} e) \\ &\quad + \sum_{i=0}^{\ell+2} \tilde{f}(\sigma^i \tau e) - \tilde{f}(\sigma^i e) \\ &= \Delta f^*(e) + \Delta f(e) = \gamma. \end{aligned}$$

Let ρ_γ be the map defined on the Borel σ -algebra \mathcal{B} of K by

$$\rho_\gamma(B) = \mu\left(\bigcup_{n \in \mathbb{Z}} \tau^{-n}(B) \cap B \cap \{x \in K : \Delta(f + f^*)(n, x) = \gamma\}\right)$$

for $B \in \mathcal{B}$. Then ρ_γ is subadditive, and the above computations show that for all cylinder sets C ,

$$\rho_\gamma(C) \geq \mu(C_e) \geq \left(\frac{1}{4}\right)^{2k+\ell+2} \mu(C).$$

Hence this inequality also holds for all finite disjoint unions of cylinders, i.e., for all elements of the generating algebra \mathcal{B}_0 of \mathcal{B} . Setting

$$\mathcal{C} = \{B \in \mathcal{B} : \rho_\gamma(B) \geq (\frac{1}{4})^{2k+\ell+2} \mu(B)\},$$

then it is easily checked that \mathcal{C} is a monotone class containing \mathcal{B}_0 , hence $\mathcal{C} = \mathcal{B}$. Therefore γ belongs to $E(\Delta(f + f^*))$.

Now suppose that Δf is continuous on K , then by Corollary 2 there is a continuous map $g : K \rightarrow \mathbb{R}$ such that

$$\Delta f(x) = g(\tau x) - g(x).$$

Thus Δf and $\Delta(f + f^*)$ are coboundaries, implying that $E(\Delta(f + f^*)) = E(\Delta f) = \{0\}$ (see [Sch]) and from the first part of the lemma $G(\tilde{f}) = \{0\}$. Conversely, if $G(\tilde{f}) = \{0\}$ then $\Delta f = -\Delta f^*$. Hence Δf is continuous. \square

The following theorem is a simple corollary of the above lemma, see [Sch].

Theorem 6. *If Δf is not continuous, then the skew product $(K \times G, \tau_{\tilde{f}}, \mu \otimes \lambda_G)$ is not trivial (i.e., $G \neq \{0\}$) and ergodic.*

Remark. Since $\Delta f^* = g \circ \tau - g$, with g continuous, $I_g : K \times G \rightarrow K \times \mathbb{R}$ defined by $I_g(x, \gamma) = (x, \gamma - g(x))$ is a continuous metrical isomorphism between $(X \times G, \mu \otimes \lambda_G, \tau_{\tilde{f}})$ and $(X \times \mathbb{R}, (\mu \otimes \lambda_G) \circ I_g^{-1}, \tau'_{\tilde{f}})$ where $\tau'_{\tilde{f}}$ is given by

$$\tau'_{\tilde{f}}(x, \gamma) = (\tau x, \Delta f(x) + \gamma)$$

and the support of $(\mu \otimes \lambda_G) \circ I_g^{-1}$ is exactly $I_g(K \times G)$. Recall that g is constant on cylinder sets of length $k + 1$.

4.3. Examples. (1) For additive 1-block maps f , one has $f^* = 0$ and by the above remark $\tau_{\tilde{f}}$ is ergodic if and only if \tilde{f} is not constant. If $r, s \in R$ and

$$\tilde{f}(x) = \begin{cases} r & \text{if } x_0 = 1 \\ s & \text{if } x_0 = -1 \\ 0 & \text{if } x_0 = 0, \end{cases}$$

then $G(\tilde{f}) = E(\Delta f) = \overline{r\mathbb{Z} + s\mathbb{Z}}$. Hence, all possible closed subgroups of \mathbb{R} can be realized by the group $G(\tilde{f})$. For instance, if $r = s \neq 0$, then $G(\tilde{f}) = r\mathbb{Z}$ and if r, s are rationally independent, then $G(\tilde{f}) = \mathbb{R}$. Two 1-block maps are of particular interest. The first one is the *Hamming weight function* w , given by

$$w(n) = \sum_{i=0}^{\infty} |c_i| = \# \text{ nonzero SSB digits of } n$$

if $n = c_0 c_1 \dots c_m 0^\omega$ is the SSB-expansion of n . From formula (14) one easily sees that

$$\Delta w(n) = w(n+1) - w(n) \in \{-1, 0, 1\};$$

more precisely $\Delta w = -1$ on $[-1]$ and $[(10)^m 10(-1)]$, $\Delta w = 1$ on $[(01)^m 00]$, and $\Delta w = 0$ on $[(01)^m 0(-1)] \cup [(01)^m 100]$. Extending Δw on K , one has that Δw is generated by the 1-block map $\tilde{w} : K \rightarrow \mathbb{R}$, defined by $\tilde{w}(x) = |x_0|$. Δw is not continuous at $\alpha = (01)^\omega$ and $\beta = (10)^\omega$ and $G(\tilde{w}) = E(\Delta w) = \mathbb{Z}$. The second 1-block map is the sum-of-digits s defined by

$$s(n) = \sum_{i=0}^{\infty} c_i.$$

Formula (14) shows that $\Delta s = 1$ on $[-1]$, $\Delta s = -2m$ on $[(01)^m 0(-1)] \cup [(10)^m 100]$, $\Delta s = -2m + 1$ on $[(01)^m 00]$ and $\Delta s = -2m - 1$ on $[(10)^m 10(-1)]$. Obviously, Δs is not continuous at α and β and $G(\tilde{s}) = E(\Delta s) = \mathbb{Z}$.

(2) Table 1 gives all 2-block maps which are coboundaries. Theorem 8 (in the next section) gives examples of 2-block maps which are not coboundaries.

4.4. Generalization. In the definition of k -block functions we may replace \mathbb{R} by any locally compact metrizable separable abelian group A . The definition of essential values remain unchanged and the tools developed in [Sch] can be used. In this general setting, Theorem 5 remains unchanged but Corollary 5 cannot be applied except for particular group like $A = \mathbb{R}^s$. The first part of Lemma 5 still holds, that is to say

$$(23) \quad G(\tilde{f}) = E(\Delta f)$$

and as a consequence, if Δf is a coboundary, then $G(\tilde{f}) = \{0_A\}$, hence $\Delta f = -\Delta f^*$ on \mathbb{Z} , so that Δf extends continuously on K . Now, Theorem 6 is true in full generality. It is worth to notice that if $\psi : A \rightarrow A'$ is a group homomorphism, then $\psi \circ f$ is the k -block function associated to $\psi \circ \tilde{f}$ and formula (23) yields

$$G(\psi \circ \tilde{f}) = E(\Delta(\psi \circ f)) = \psi(G(\tilde{f})).$$

Using the fact that Δf is always μ -continuous (i.e. the set of discontinuity points is μ negligible, see [Ku-Nie]), Theorem 6 in [Li2] and classical results on generic points for skew products (see [Li1] for example) give the following Theorem.

Theorem 7. *With the above notations, if A is compact, then $\tau_{\tilde{f}}$ is uniquely ergodic and all points are generic; in particular, for any bounded $\mu \otimes \lambda_G$ -continuous map $\varphi : K \times G \rightarrow \mathbb{C}$, one has*

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{0 \leq n < N} \varphi(J(n), f(n)) = \int_{K \times G} \varphi d(\mu \otimes \lambda_G).$$

To end this section, let us consider the \mathbb{Z}^2 -valued 1-block functions $(w, s) : n \mapsto (w(n), s(n))$. The above computations show that $G((\tilde{w}, \tilde{s})) = \mathbb{Z}^2$ hence the skew product $\tau_{(\tilde{w}, \tilde{s})}$ is ergodic and, for any irrational numbers η, ξ , the sequence

$$n \mapsto (w(n)\eta, s(n)\xi)$$

is uniformly distributed mod \mathbb{Z}^2 (and in fact, well distributed in the sense of [Ku-Nie]). Analogous results hold if η or ξ are rational. The details are left to the reader.

5. σ -FINITE INVARIANT MEASURES

In this section we use the Markov chain structure of K to build Markov shift invariant measures ν' for which τ is non-singular, and there is no τ -invariant measure which is equivalent to ν' , except if $\nu' = \nu$. Such a result is a natural generalization of a theorem of Arnold [Arn]. To do that we study some Maharam transformations. For any given positive probability vector $p = (p_{-1}, p_0, p_1)$, consider the Markov chain with state space $S = \{-1, 0, 1\}$ and transition matrix

$$\Pi(p) := \begin{pmatrix} 0 & 1 & 0 \\ p_{-1} & p_0 & p_1 \\ 0 & 1 & 0 \end{pmatrix}$$

which we denote by Π if the reference to p is unambiguous. The stationary Markov measure associated to Π has initial distribution $q = (\frac{p_{-1}}{2-p_0}, \frac{1}{2-p_0}, \frac{p_1}{2-p_0})$, which we denote by ν_p . From the classical theory of Markov chains, we see that $(K, \sigma, \mathcal{B}, \nu_p)$ is ergodic and more precisely is exact i.e., the tail σ -algebra $\bigcap_{n \geq 1} \sigma^{-n} \mathcal{B}$ is trivial.

Theorem 8. *The measure ν_p is non-atomic. Moreover, let $f : \mathbb{Z} \rightarrow \mathbb{R}$ be the additive 2-block function defined by $\tilde{f} : K \rightarrow \mathbb{R}$, with $\tilde{f}(0(-1)) = \log p_{-1}$, $\tilde{f}(01) = \log p_1$ and \tilde{f} is zero otherwise. Let $g : K \rightarrow \mathbb{R}$ defined by $g(x) = |x_0|$. Then*

$$(24) \quad \log \left(\frac{d\nu_p \circ \tau}{d\nu_p}(x) \right) = \Delta(f + f^*)(x) - (g(\tau x) - g(x)) \log p_0.$$

More explicitly,

$$g(\tau x) - g(x) = \begin{cases} 1 & \text{if } x_0 = 0 \\ -1 & \text{otherwise,} \end{cases}$$

and

$$(25) \quad \frac{d\nu_p \circ \tau}{d\nu_p}(x) = \begin{cases} \frac{p_0}{p_{-1}} & \text{if } x \in [-1]; \\ \frac{p_1}{p_0} \left(\frac{p_{-1}}{p_1} \right)^m & \text{if } x \in [(01)^m 00]; \\ p_0 \left(\frac{p_{-1}}{p_1} \right)^m & \text{if } x \in [(01)^m 0(-1)]; \\ \frac{1}{p_0} \left(\frac{p_{-1}}{p_1} \right)^m & \text{if } x \in [(10)^m 100]; \\ \frac{p_0}{p_1} \left(\frac{p_{-1}}{p_1} \right)^m & \text{if } x \in [(10)^m 10(-1)]; \end{cases}$$

with $m \geq 0$.

Proof. The proof that ν_p is non-atomic is left to the reader (recall that the coordinates of p are positive). Formula (25) follows from (14), and the fact that the Radon-Nikodym derivative is constant on cylinders of the form $[-1]$, $[(01)^m 00]$, $[(01)^m 0(-1)]$, $[(10)^m 100]$, $[(01)^m 10 - 1]$. Now, formula (24) follows from the definition of \tilde{f} and formula (25). \square

Remark. If one coordinate of the probability vector p is 0, then the calculations in Theorem 8 show that the derivative $\frac{d\nu_p \circ \tau}{d\nu_p}$ takes the value 0 on at least one cylinder set of positive ν_p measure, and the value ∞ on at least one cylinder of positive ν_p measure. In other words, the positivity of p is necessary and sufficient for $\nu_p \circ \tau$ to be equivalent to ν_p , and so τ is non-singular with respect to ν_p .

Proposition 3. *The map τ is an invertible, conservative, ergodic non-singular transformation of (K, ν_p) .*

Proof. Due to the above results, we only need to prove the ergodicity. Let B be a Borel set of K such that $\tau^{-1}(B)$ equals B up to a set of ν_p -measure 0. Without loss of generality, we may assume that $\tau^{-1}(B) = B$. Hence, for any $b = b_0 b_1 b_2 \dots$ in B , we have $\tau^{-b[n]} b = 0^n b_n b_{n+1} b_{n+2} \dots \in B$. Now for any $x_0 \dots x_n \in \mathcal{A}^n$ such that $x_0 \dots x_{n-1} b_n b_{n+1} b_{n+2} \dots$ is an element of K , one has that $x = \tau^{-b[n]+x[n]} b$, and hence $x \in B$. Consequently, for any integer $n \geq 0$, we have $B = \sigma^{-n}(\sigma^n(B))$. In particular, B is measurable with respect to the tail σ -algebra $\bigcap_{n \geq 1} \sigma^{-n} \mathcal{B}$ which is $\{\emptyset, K\} \bmod \nu_p$ by exactness of $(K, \sigma, \mathcal{B}, \nu_p)$. Therefore, $\nu_p(B)$ is 0 or 1. \square

Theorem 9. *The Markov measure ν_p admits no τ -invariant equivalent measure unless $p = (\frac{1}{4}, \frac{1}{2}, \frac{1}{4})$.*

Proof. Suppose ρ is a τ -invariant measure on K which is equivalent to ν_p . Then there exists a measurable function h which is positive ν_p a.e. such that

$$\frac{d\nu_p \circ \tau}{d\nu_p} = \frac{h \circ \tau}{h} \quad \nu_p\text{-a.e.}$$

From formula (24), one sees that $\Delta(f)$ is a coboundary, and by a result of K. Schmidt this is equivalent to saying that the group of essential values of $\Delta(f)$ is reduced to $\{0\}$. By Lemma 5, Δf is continuous. Using Table 1 (or Theorem 5), we derive that $\log p_{-1} = \log p_1 = 2 \log p_0$, hence $p_1 = p_{-1} = p_0^2$, but $p_{-1} + p_1 + p_0 = 1$ (and $p_0 \geq 0$) so that $p_0 = \frac{1}{2}$ and $p_{-1} = p_1 = \frac{1}{4}$. \square

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